

## On the signature of a 70-solar-mass black hole in LB-1



# The Nature of LB 1-like Systems

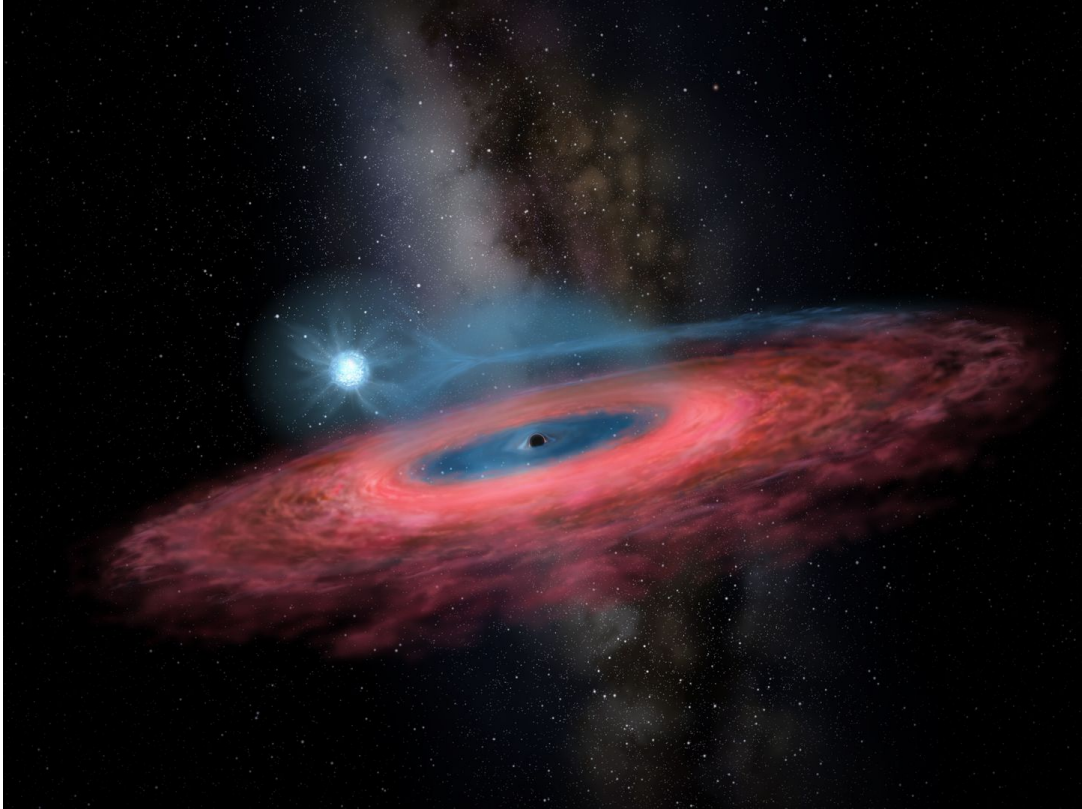
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# LB-1 system



*Yu Jingchuan*

**Clue:** The motion of the B star (radial velocity)  
+ an accompanying H $\alpha$  emission line

**Conclusion:** 68 solar mass BH and a companion  
B-type star

*Jifeng Liu et al. 2019*



LAMOST

Chandra X-ray  
Observatory

## Spectroscopy



## Non-detection of X-ray



GTC/OSIRIS

Keck/HIRES

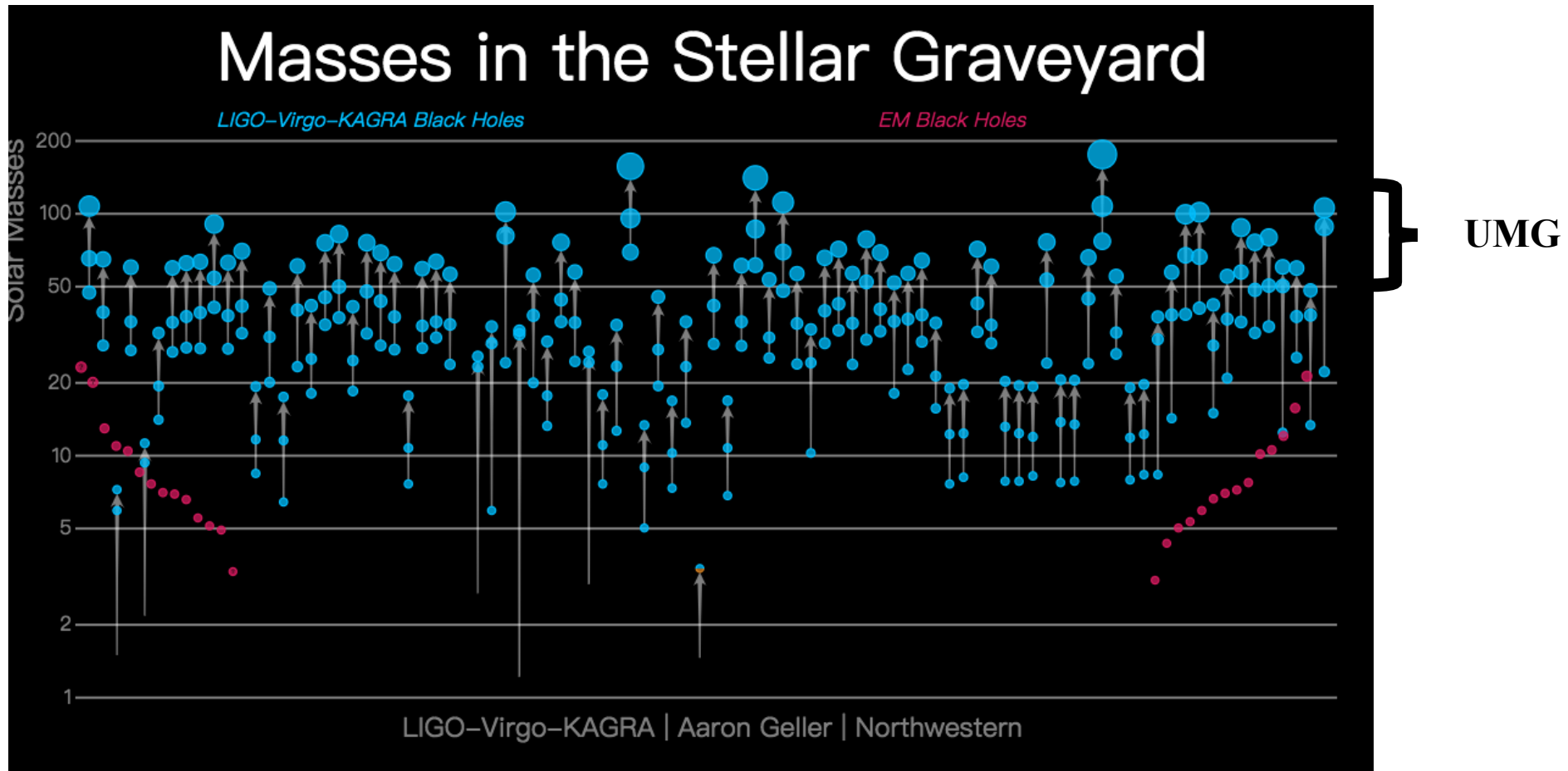


*Credit: Caltech*

# Properties of LB-1 BH

- **High mass**
- **Non-interacting**

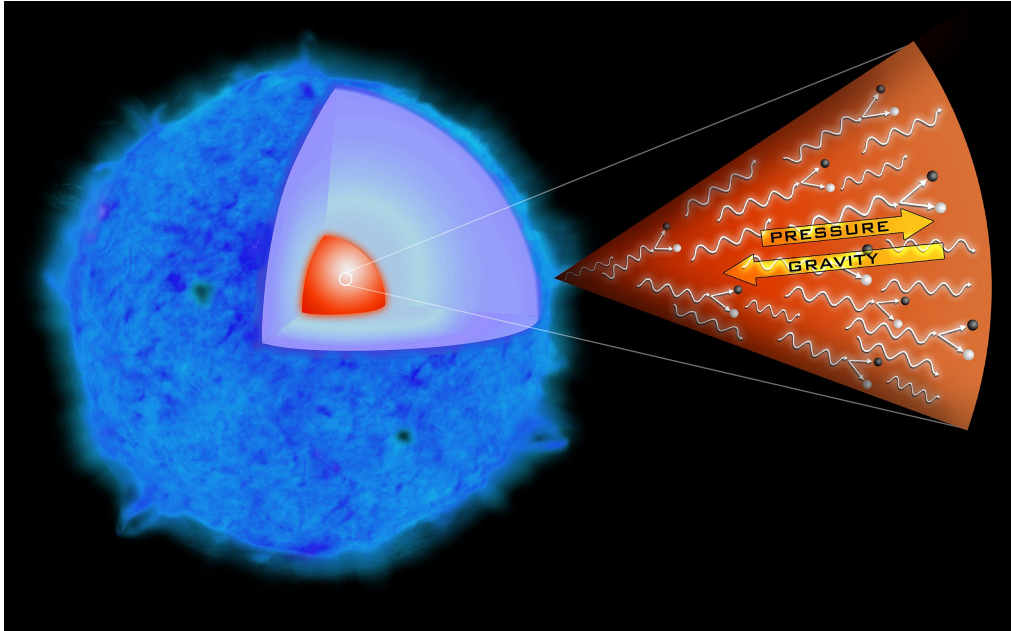
# Upper mass gap (UMG)



**UMG:** 50-150 (52-133) solar mass

# Upper mass gap (UMG)

## Pair-instability supernova

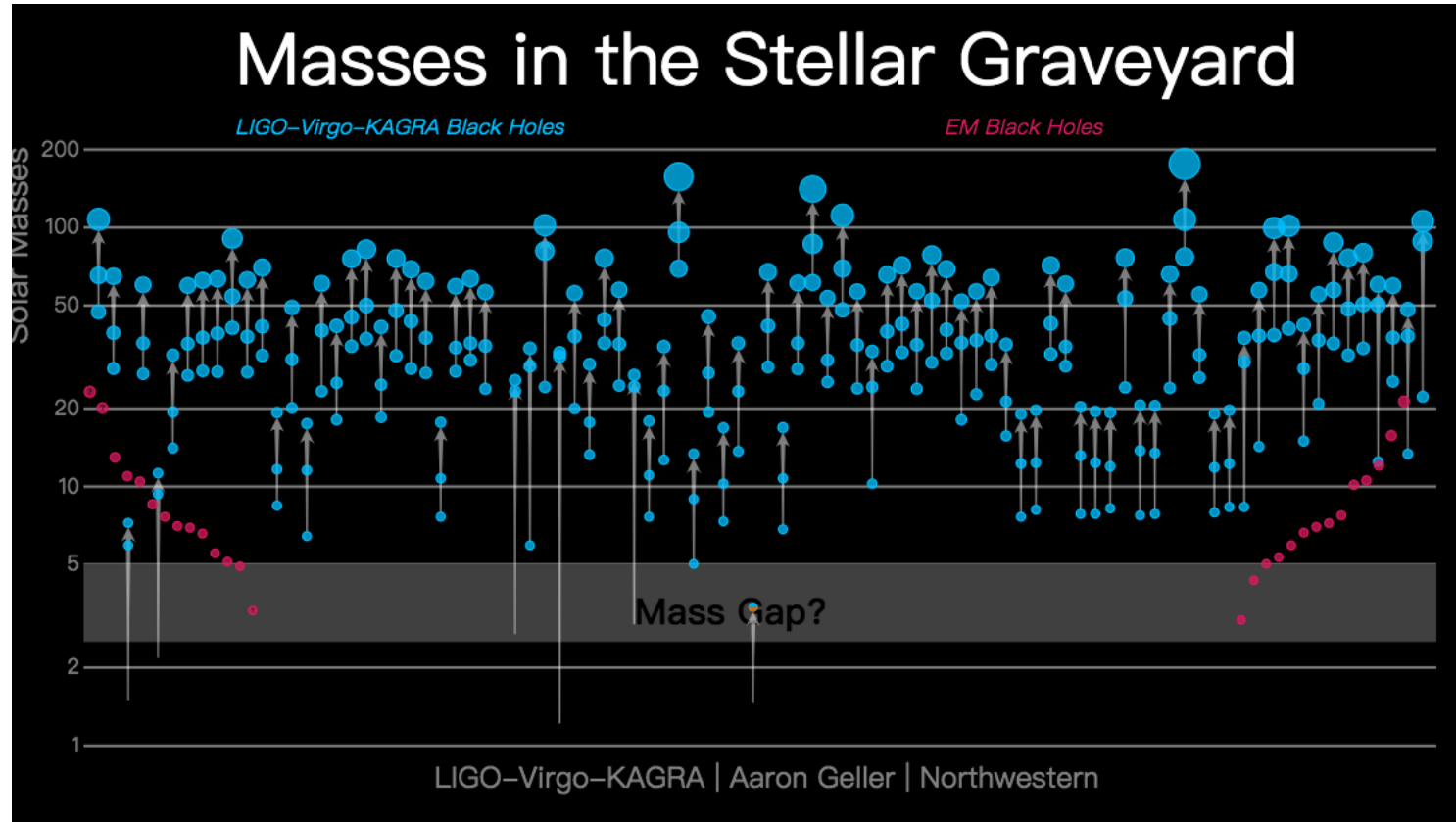


130 to 250 solar masses  
low to moderate metallicity (**Population III stars**)

- Collision between atomic nuclei and energetic gamma rays
- Production of free electrons and positrons (consume energy)
- Reduces the internal radiation pressure
- Partially collapse under its own huge gravity
- Runaway thermonuclear explosion

*Lower limit: 45 (pair-instability pulsational mass loss) - 60 (nonrotating stars)  $M_{\odot}$*

# Lower mass gap (LMG)



**LMG:** 2-5 solar mass

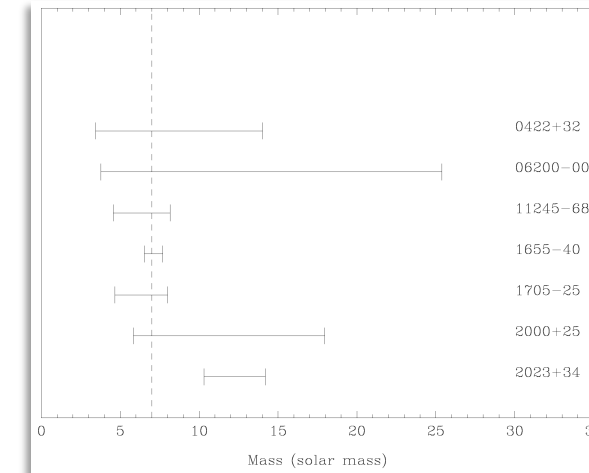
Tolman–Oppenheimer–Volkoff **limit of neutron star:** around 2 solar masses

# Lower mass gap (LMG)

## Black hole mass distribution in low-mass X-ray binaries

**Discovery** (Bailyn et al, 1998)

- The supernova explosion itself.
- The binary nature of the observed systems.



**Simulation** (Fryer 1999 ; Fryer & Kalogera 2001)

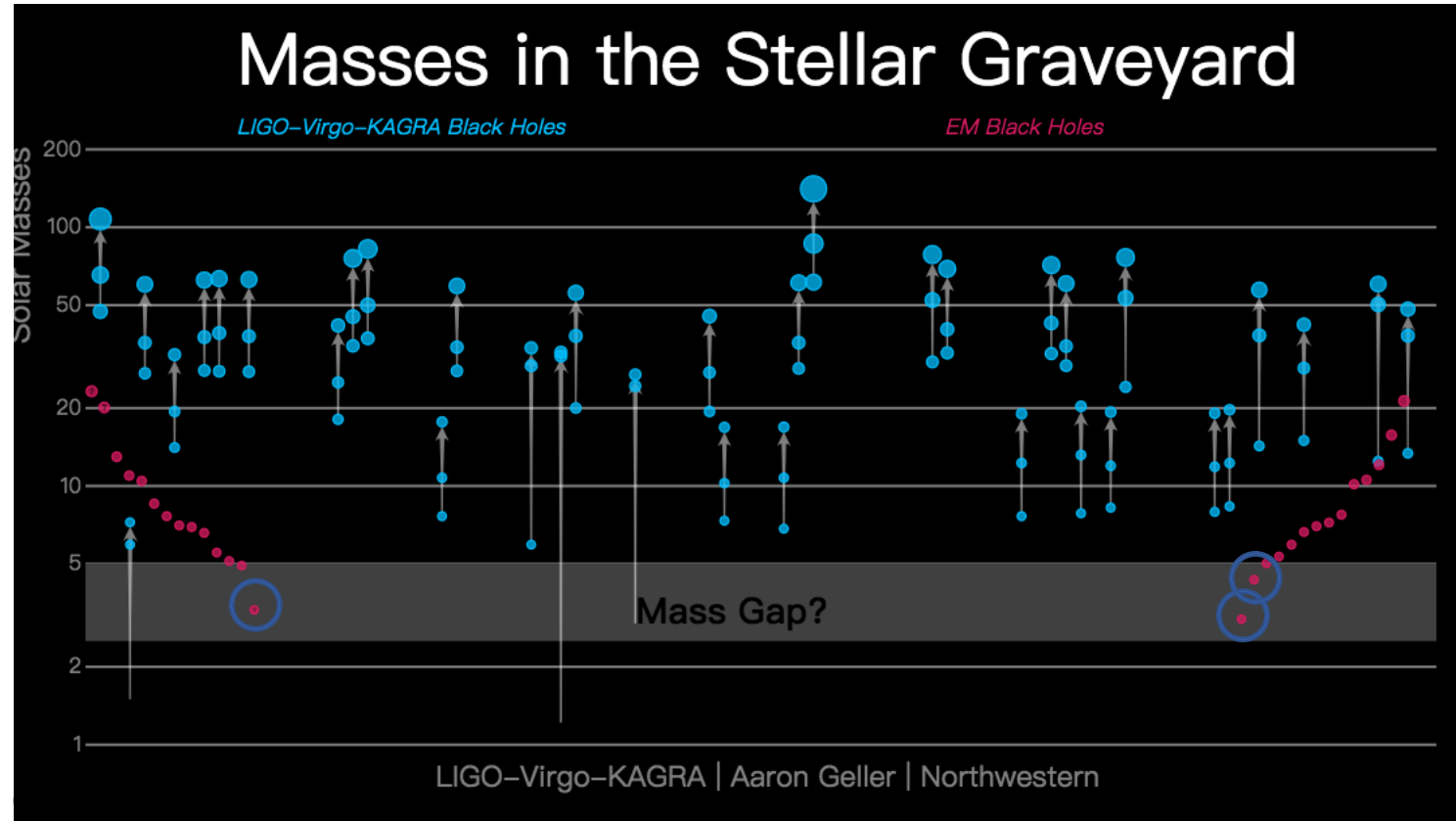
- A step-like dependence of supernova energy on progenitor mass
- Selection biases.

**More explanation** (Postnov & Cherepashchuk 2003)

- Black hole evaporation in braneworld gravity models?



# LMG?



Third Observing Run (between 1 April 2019 15:00 UTC and 27 March 2020 )

**Undetectable via the usual x-ray signature?**

# Properties of LB-1 BH

- High mass
- Non-interacting

# Non-interacting BH systems

## Interacting BH systems

### X-ray binaries

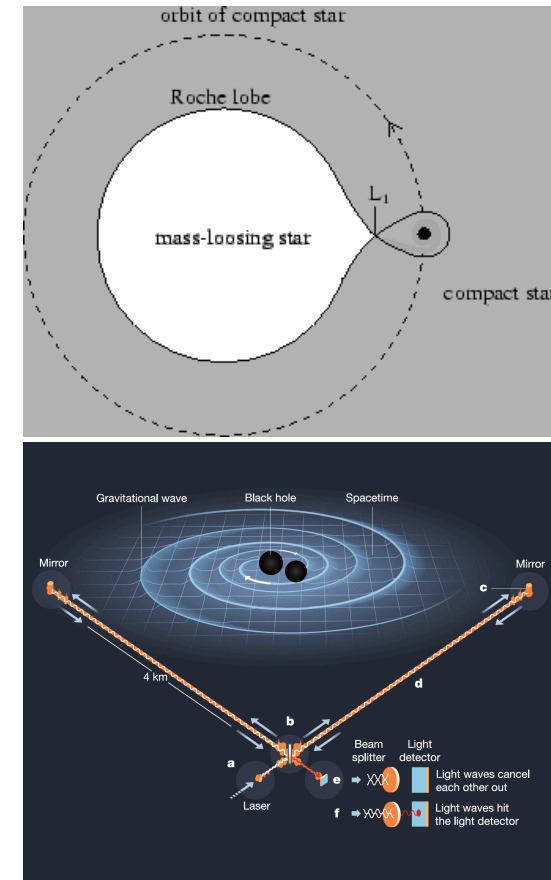
- The companion must either fill its Roche lobe
- or have a modest separation and a strong wind.

### Gravitational wave observations

- Very small fraction of surviving binaries
- On very short orbits leading to a merger

## Non-interacting BH binaries

- Hard to find
- Important & Far larger population



# Non-interacting BH systems

## Before

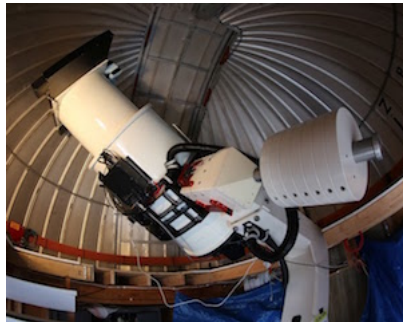
Numerous spectroscopic and photometric surveys (since more than 50y ago)

## Recently

- Rapidly increasing **wide-field surveys**
- and growing interest in the **progenitors of gravitational wave sources.**

*Giraffes* in the binary zoo

## Light curves



*ATLAS*

## *TESS*



*ZTF*

## RV signal

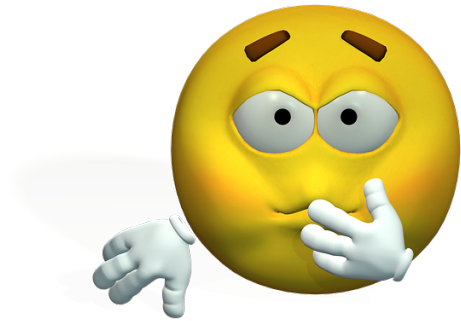


*LBT*

# BH or not?

Two typical systems: **mass-gap**, **non-interacting**

- LB-1 system (**UMG**)
- Giraffes (**LMG**)



**Yes!**

## Debate on

- Model fitting
- H $\alpha$  emission line
- ...

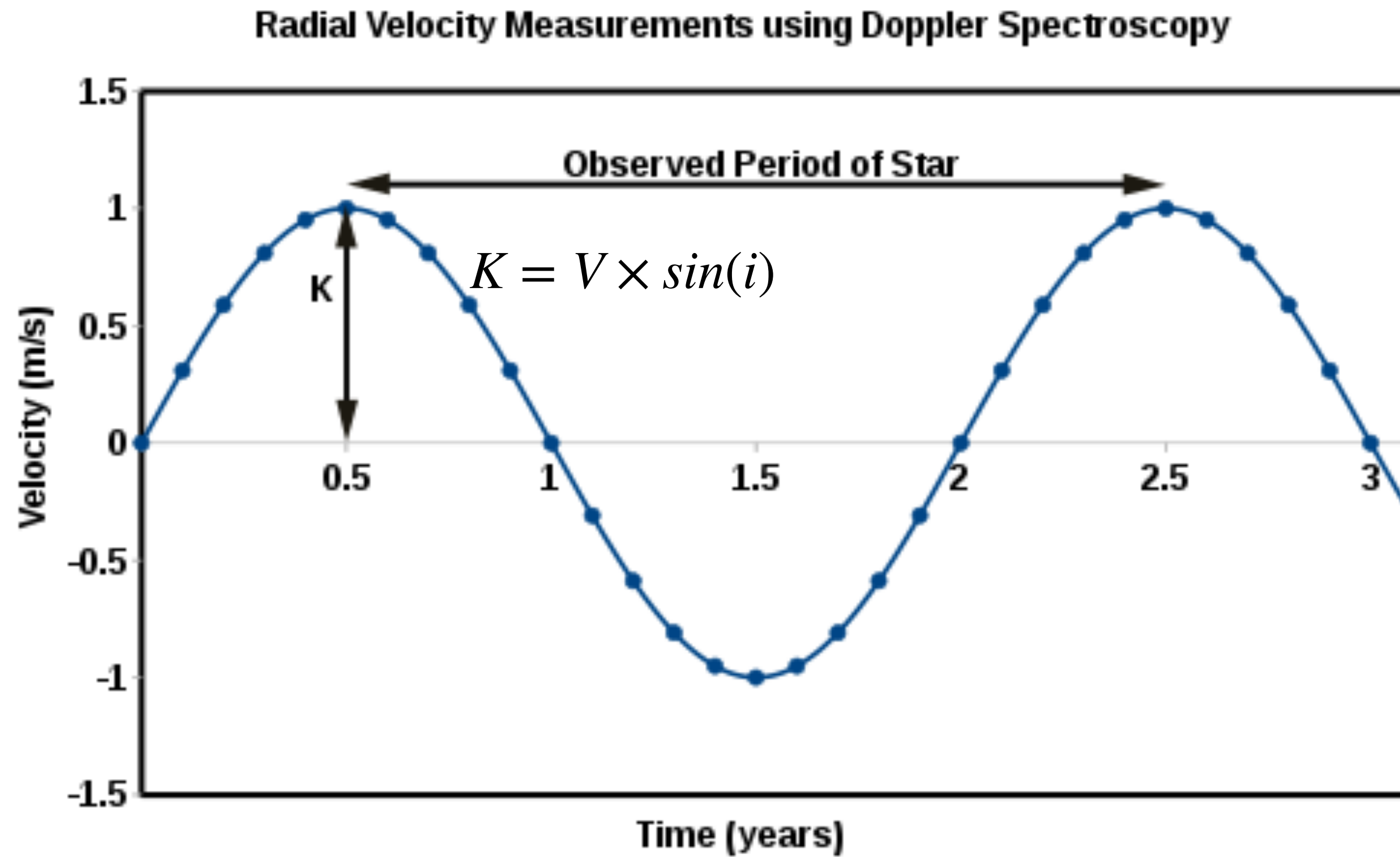


**No**

**LB-1**

**Liu et al. 2019 (Nature, 575, 618)**

# Radial velocity of a binary system



$$K = V \times \sin(i)$$

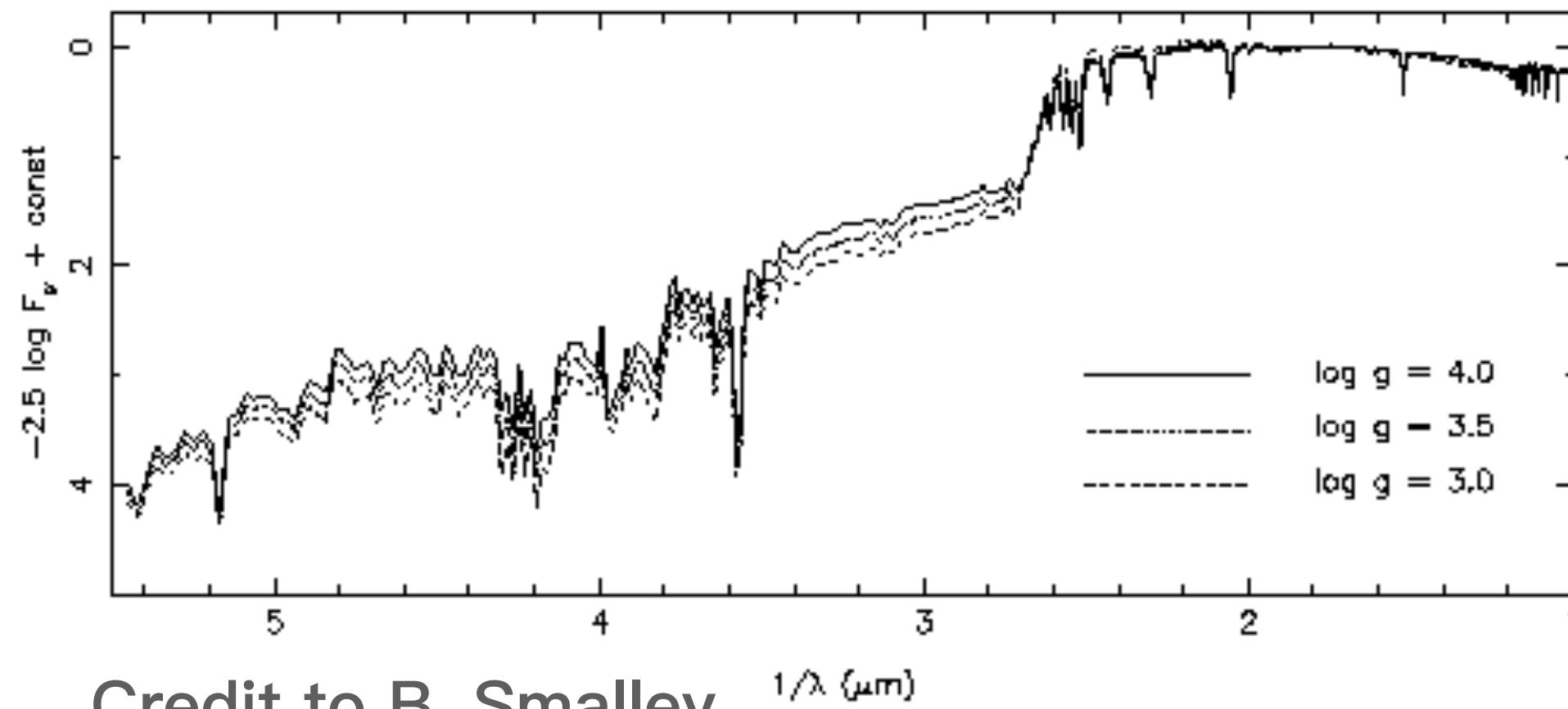
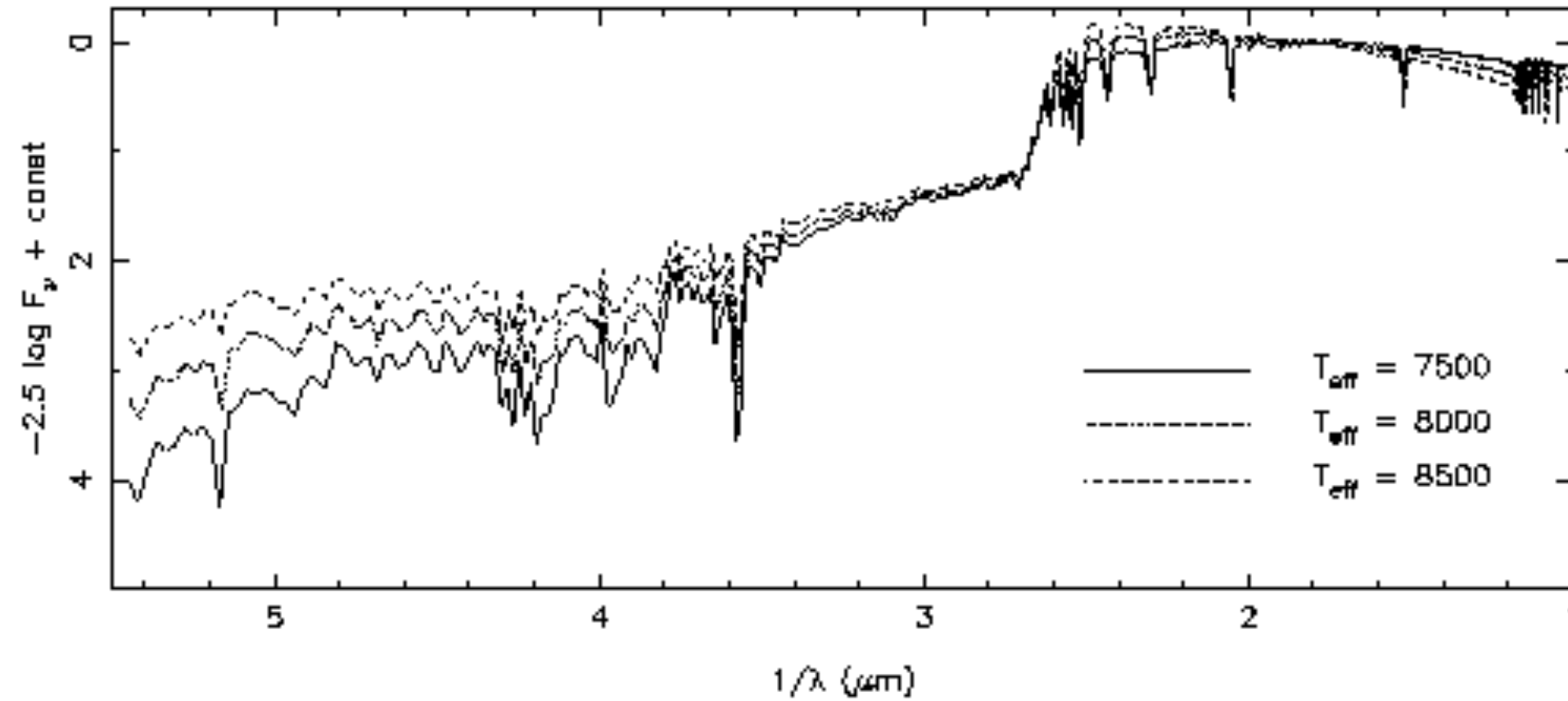
$$M_{comp} = \frac{M_{star} \times K_{star}}{K_{comp}}$$

$$\frac{PK^3(1 - e^2)^{1.5}}{2\pi G} = \frac{M_{unseen}^3 \sin^3 i}{(M_{unseen} + M_{seen})^2}$$

$$a^3 = \frac{G(M_{unseen} + M_{seen})P^2}{4\pi^2}$$

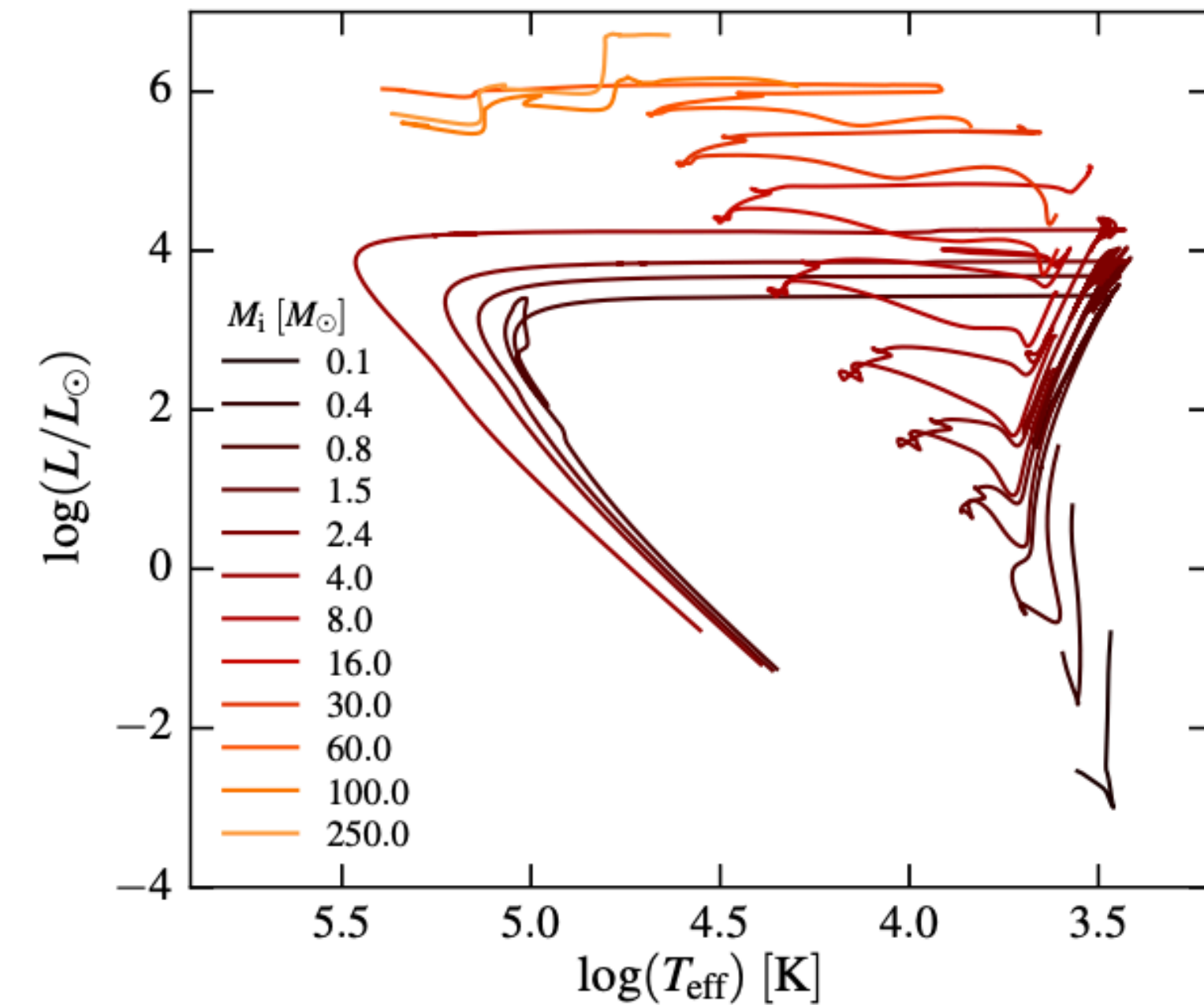
# Stellar fitting of spectra, isochrone

The flux distribution to the various atmospheric parameters for an A-type star



Credit to B. Smalley

solar metallicity grid of stellar evolutionary tracks



Jieun Choi et al. 2016

Spectrum fitting + Distance + isochrone fitting  $\rightarrow$  constrain the properties of stars



# LB-1

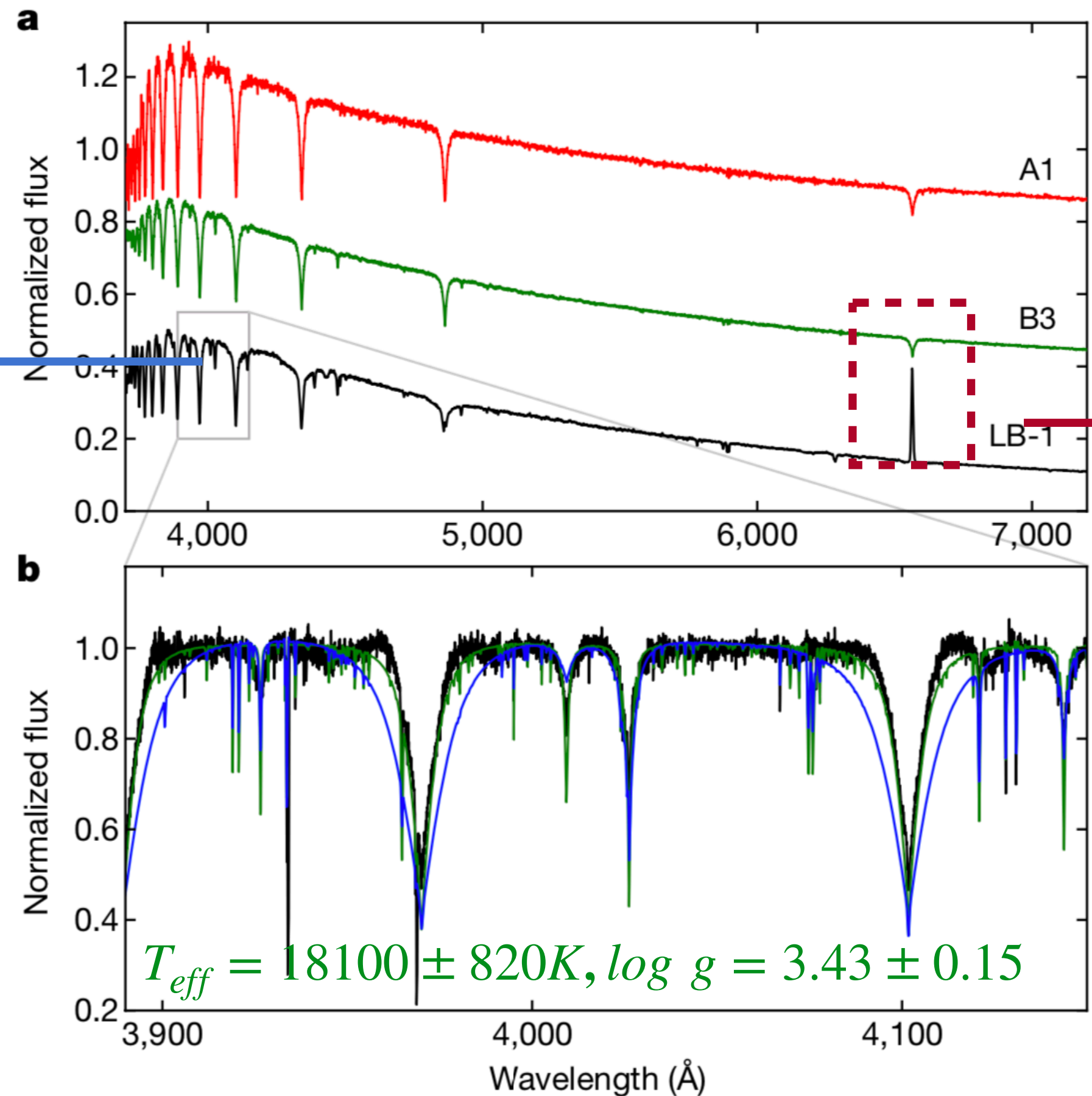
- One of the LAMOST target, shows periodic radial-velocity variation + strong  $H_\alpha$  emission line
- Spectra from LAMOST+ higher resolution spectra from Keck & GTC
- Wide band spectral energy distribution (SED) from the UCAC4 catalogue, 2MASS and the AllWISE data release
- Chandra: Non-detection in X-ray

$$\mathbf{RV + spectra fitting} \longrightarrow M_{comp} = \frac{M_{star} \times K_{star}}{K_{comp}}$$

**B star + dark companion  $\sim 68M_\odot$   
Non-interacting black hole**

# Spectra of LB-1 contain 3 components

(1) stellar component (dominant), absorption lines with periodic motion

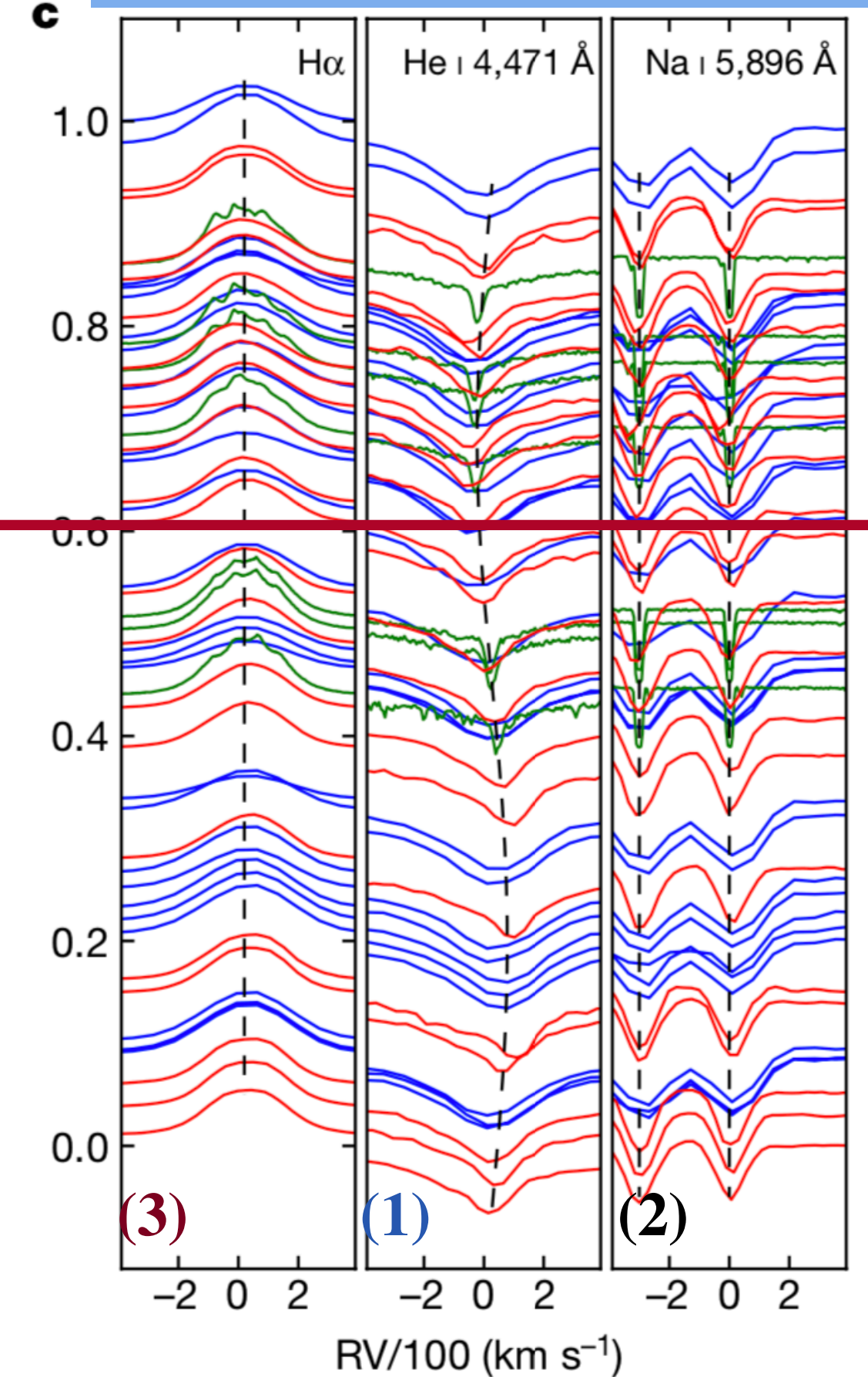


$P=78.9d, K_{HeI}=52.8 \pm 0.7 km/s$

$$M_{comp} = \frac{M_{star} \times K_{star}}{K_{comp}}$$

(2) interstellar absorption lines (e.g. NaI), stable

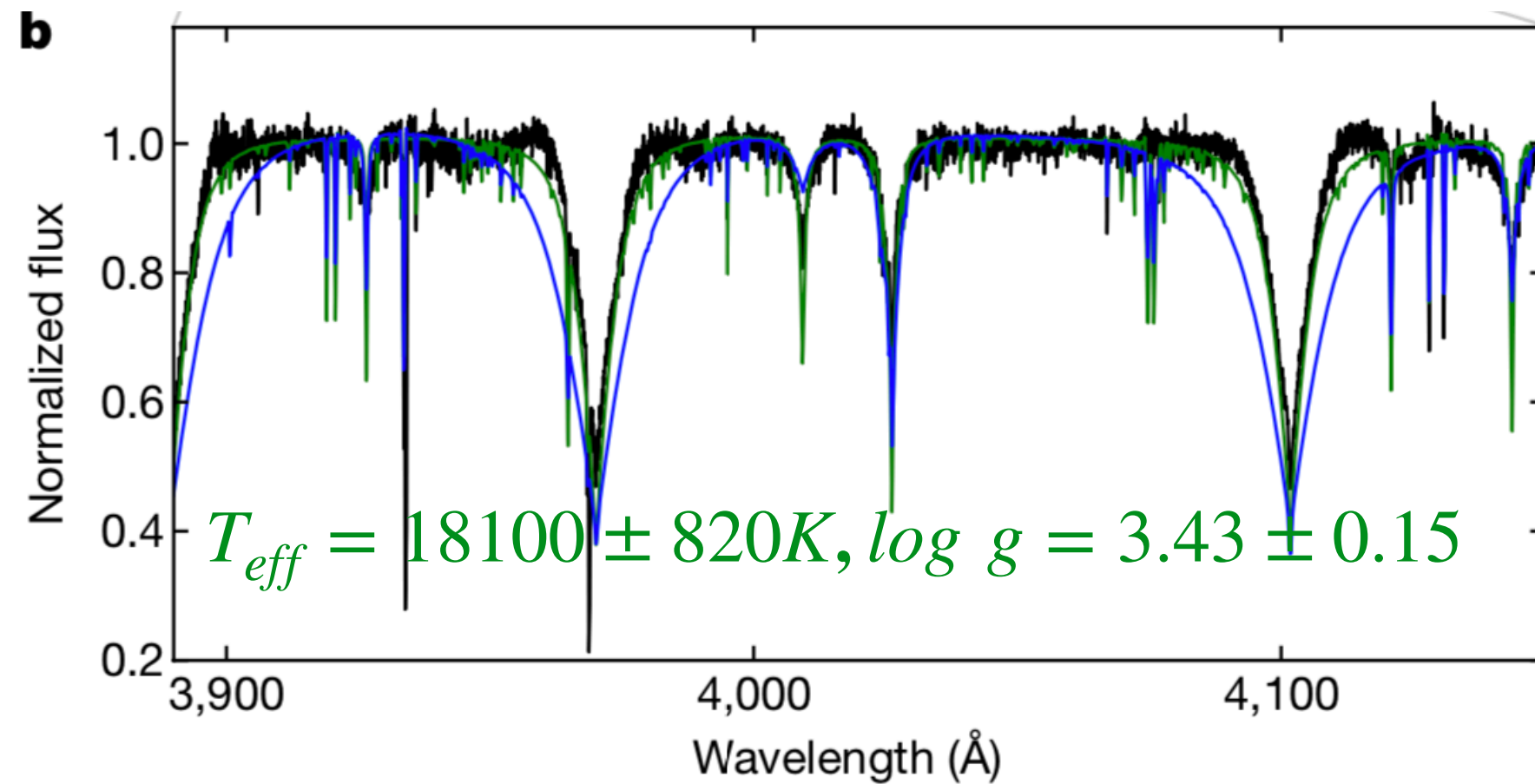
(3) broad  $H_{\alpha}$  emission line, anti-phase periodic motion with star  
Unknown origin



Spectrum fitting of signal star: **mask  $H_{\alpha}$  and  $H_{\beta}$** , because they are contaminated by emission lines from other component

# Constrain properties of the visible star

From spectrum fitting, get  $T_{eff}$  and  $\log g$ , likely to be a B-type star or a subdwarf



A sub-giant B star,  $M_B = 8.2^{+0.9}_{-1.2} M_{\odot}$ ,  
 $R_B = 9 \pm 2 R_{\odot}$ , age =  $35^{+13}_{-7}$  Myr,  
 metallicity  $(1.2 \pm 0.2) Z_{\odot}$

$P + M_B \rightarrow M_{comp} \sim (6-250) M_{\odot}$ ,  
 degenerate with inclination

**Too faint to be a main sequence star,  
 a black hole!**

From spectrum fitting, the Balmer lines is too narrow for a subdwarf.

With  $T_{eff}$  and  $\log g$ , generate theoretical SED models. Compare theoretical models with real SED: Distance (D) =  $4.23 \pm 0.24$  kpc,  $E(B-V) = 0.55 \pm 0.03$  mag

—> too far for a subdwarf!

$$M_{comp} = \frac{M_B \times K_B}{K_{comp}}$$

# The origin of broad $H_\alpha$ emission line

M dwarf or surrounding nebulae **✗** → the emission line is too broad

Around B star **✗** → not tracing the motion of B star

Circumbinary disk **✗** → the FWHM is too large

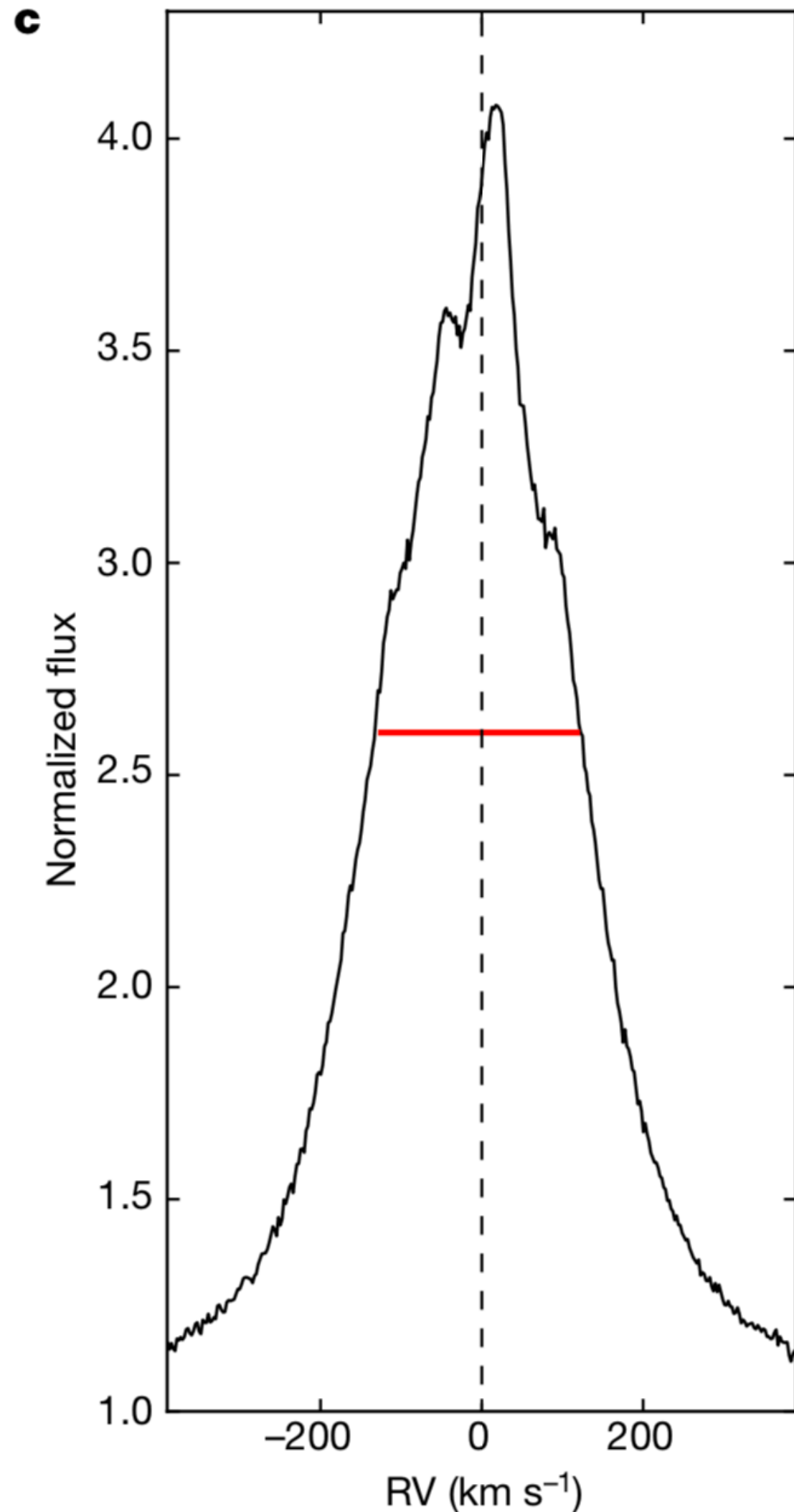
Around black hole **✓**, but may be contaminated by e.g. circumbinary materials, accretion spots in line centre

A gaseous Keplerian disk of

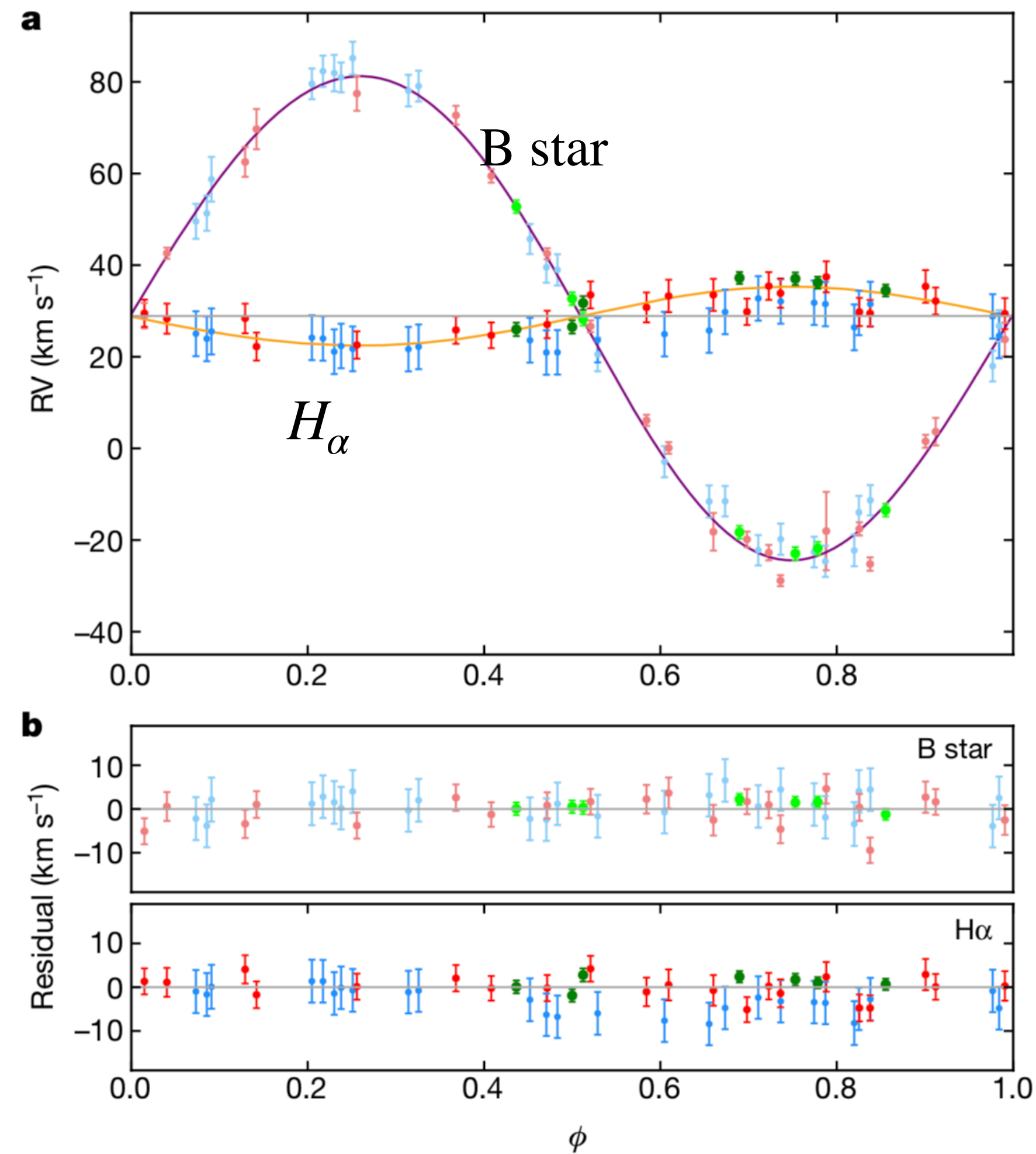
Mask from centre region, measure the RV → the measurement converge when mask region large than 1/3 height.

Find  $K_{H_\alpha} = 6.4 \pm 0.8 \text{ km/s}$ .

$$M_{comp} = \frac{M_B \times K_B}{K_{comp}}$$



# Fit RV, constrain the mass of black hole



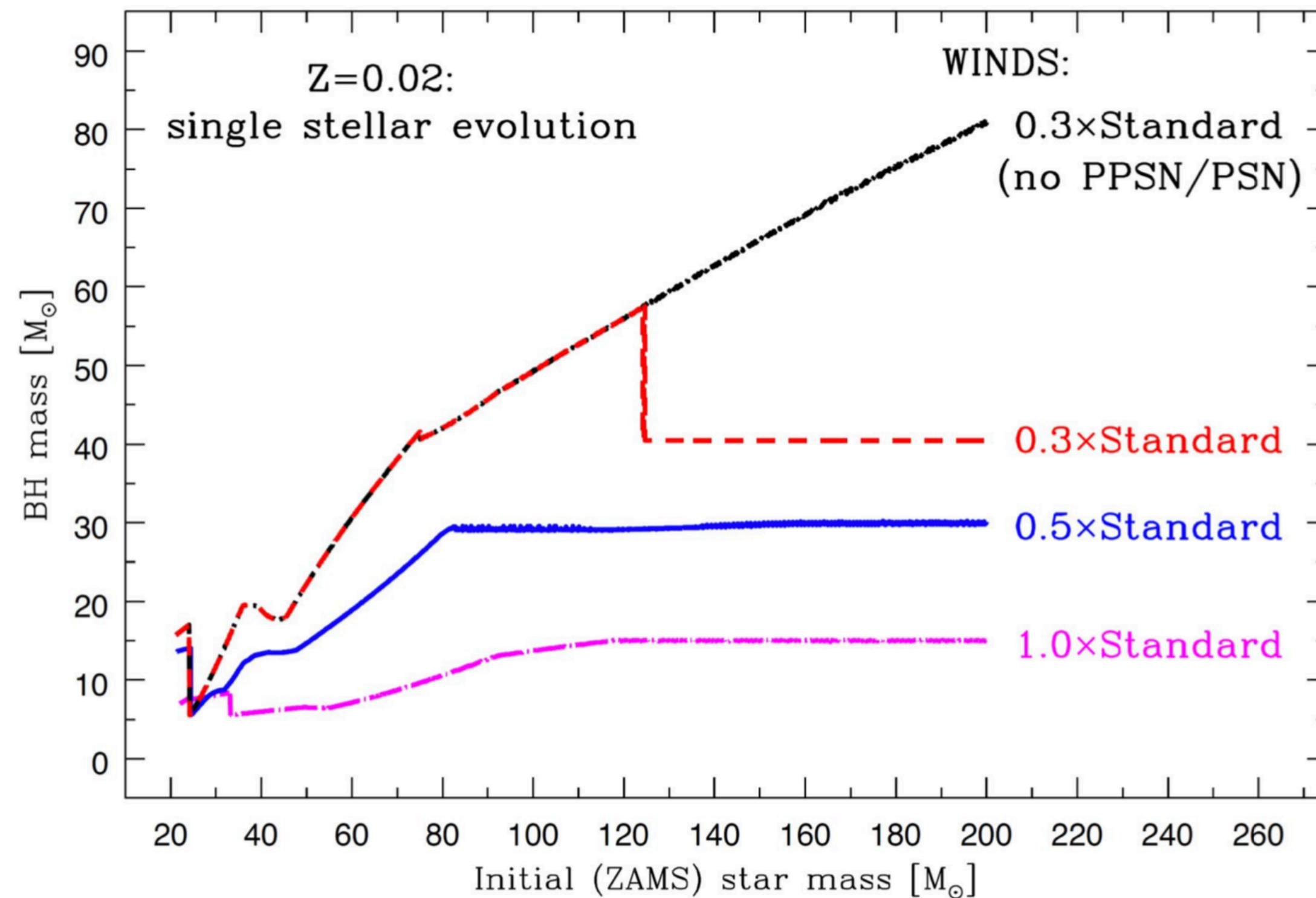
Fit RV, find  $K_{H_\alpha} = 6.4 \pm 0.8 \text{ km/s}$ .

$$M_{comp} = \frac{M_B \times K_B}{K_{comp}} = \frac{M_B \times K_B}{K_{H_\alpha}} = 68_{-13}^{+11} M_\odot, \text{ inclination angle } i \sim 15^\circ - 18^\circ$$

# How to form this kind of black hole?

$M_{comp} = 68_{-13}^{+11} M_{\odot}$ , reach the upper mass gap of black hole.

Also, the metallicity of B star is about  $1.2Z_{\odot}$ , much higher to form massive black hole (need  $<0.2Z_{\odot}$ )



Even with low metallicity, this system still challenge the current stellar formation theories

Two possible models:

(1) actually, two black holes

(2) Initially, it is a triple system. Later on, the inner two form a massive black hole, the outer one evolve to a B star.

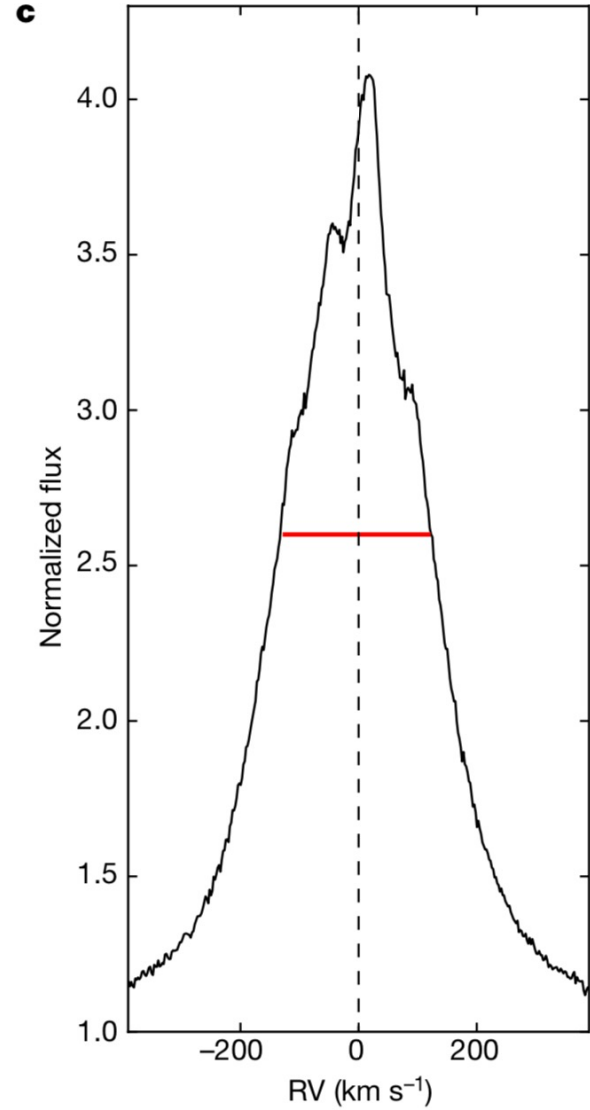
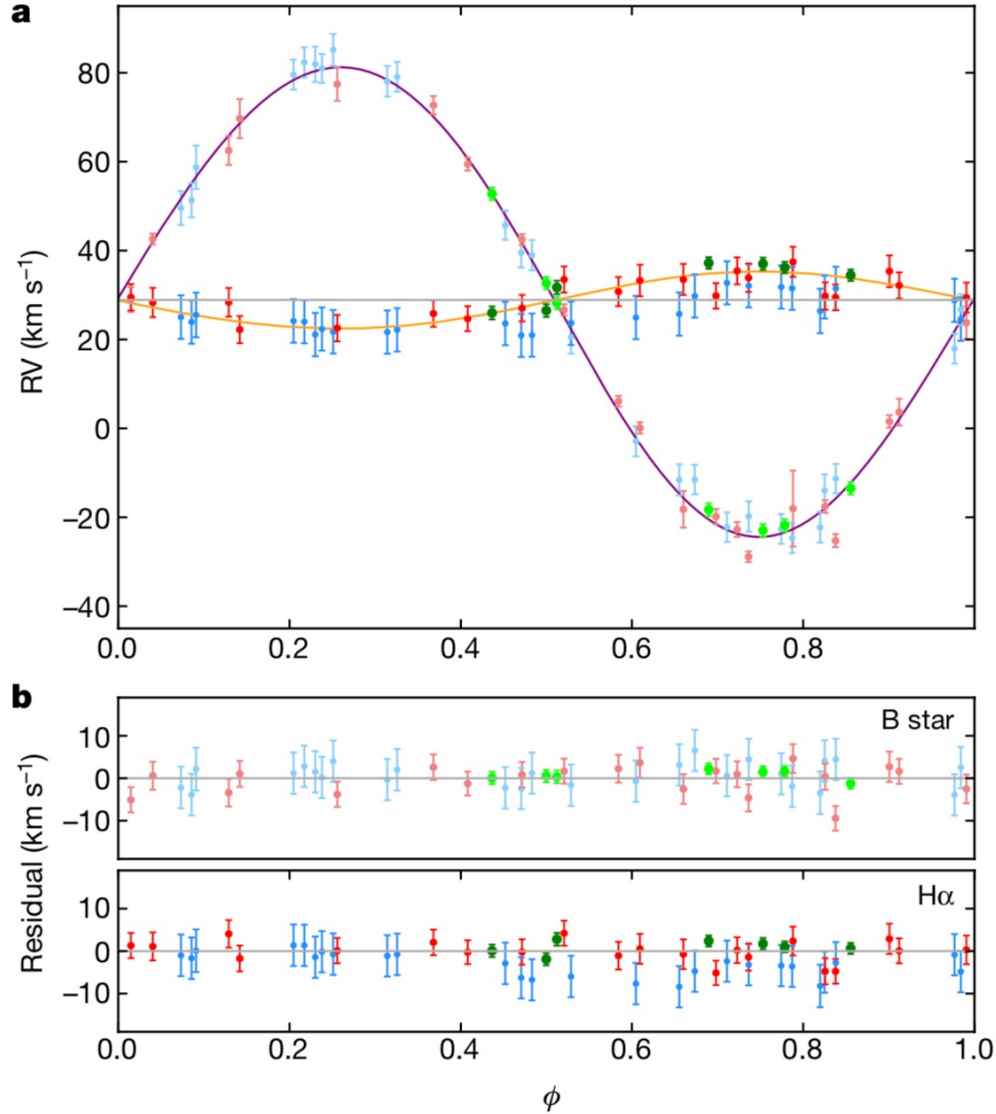
# Summary of LB-1

From spectrum fitting, they constrain the properties of LB-1 system:

**B star + dark companion  $\sim 68M_{\odot}$**   
**Non-interacting black hole that reaches upper mass gap**

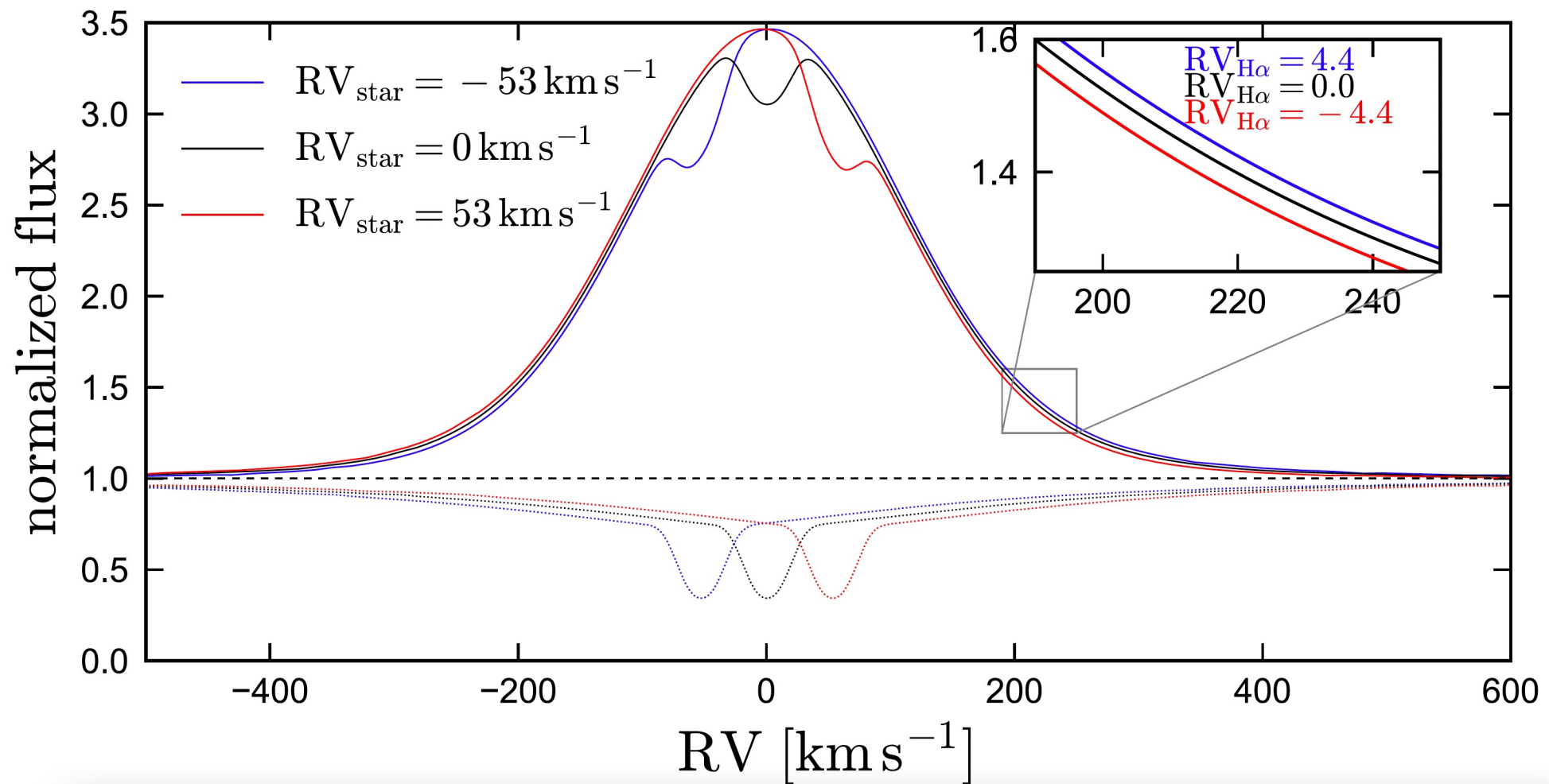
In their analysis, the assumption of  $H_{\alpha}$  emission is important for determining the BH mass.

# ❖ The LB-1 system



(Liu et al., 2019)

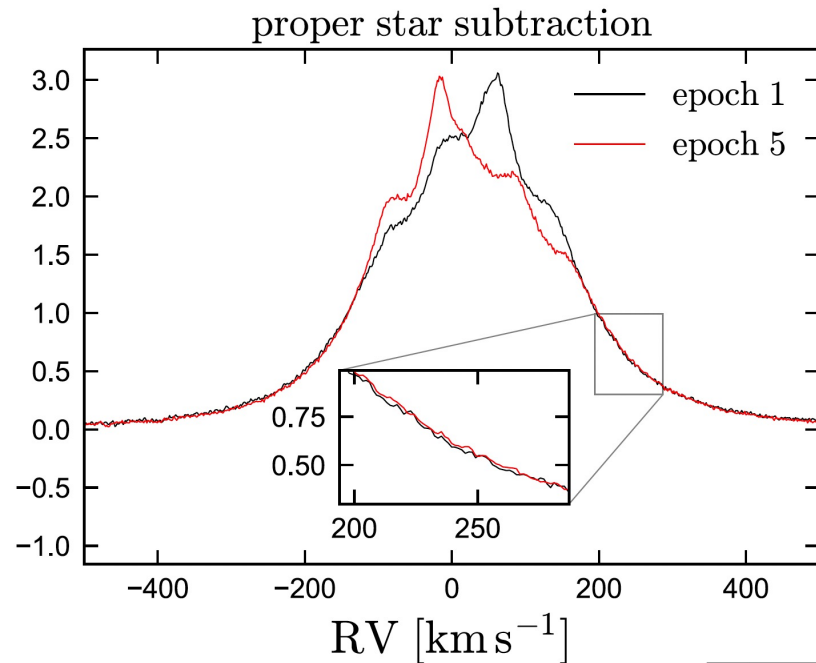
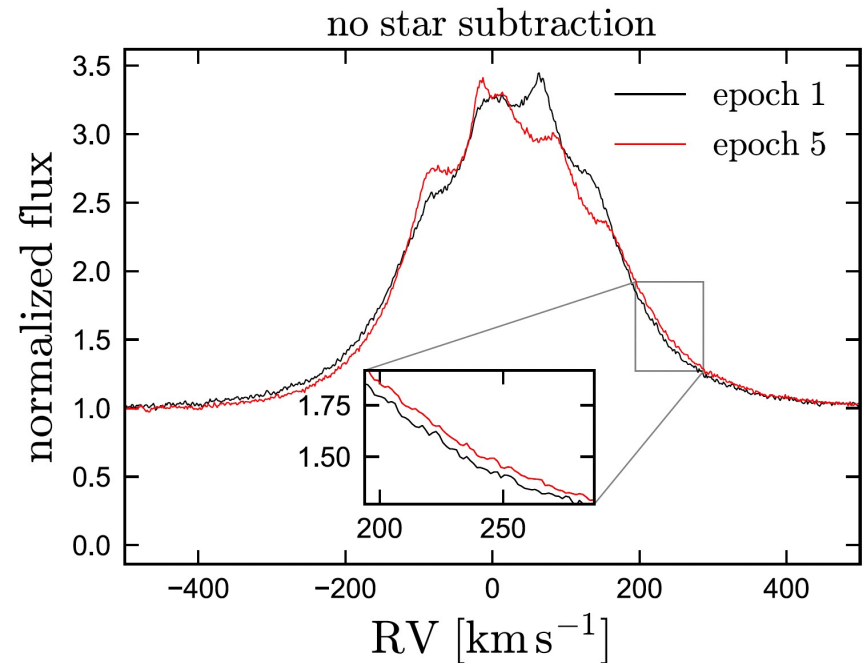




➤ The RV-variable absorption line will shift the H $\alpha$  emission line and result in an apparent velocity offset.

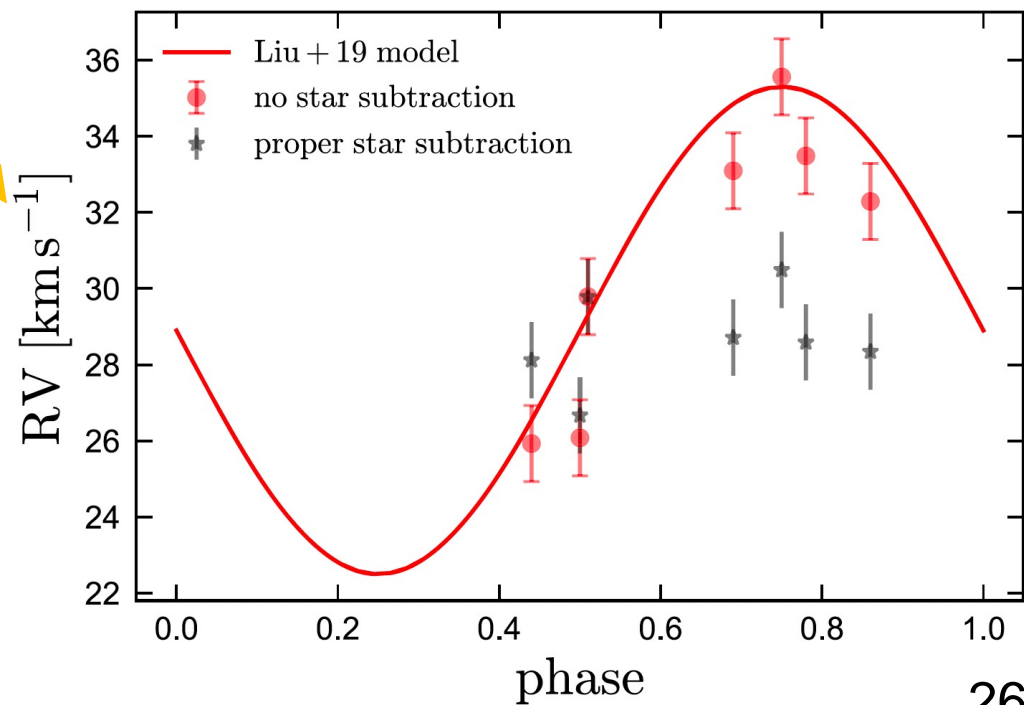
➤ The RV signal is not real.

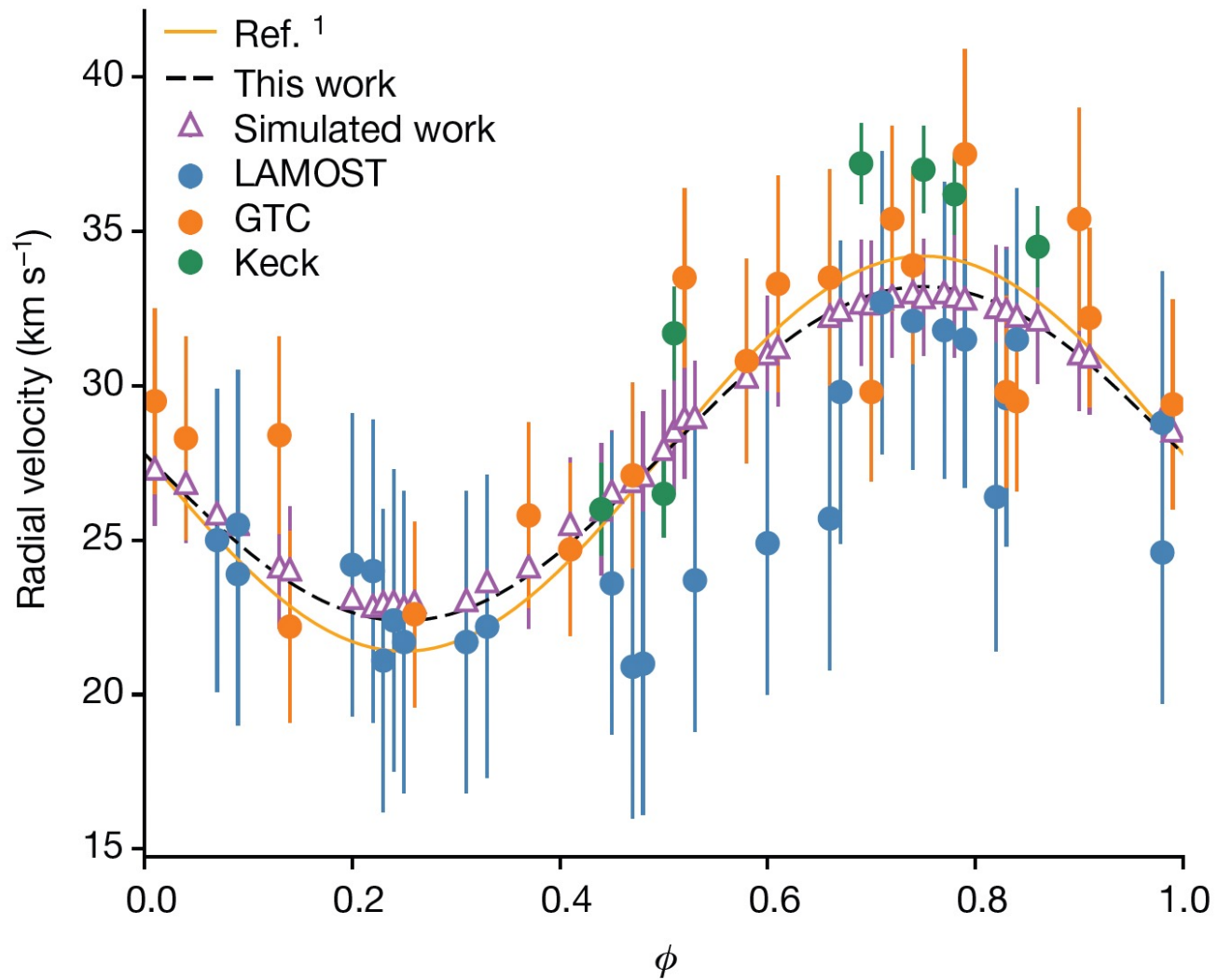
(El-Badry & Quataert, 2020)



➤ Rule out any > 1.3 km/s

$$K_{H\alpha} = 0.25^{+0.7}_{-0.3} \text{ km s}^{-1}$$



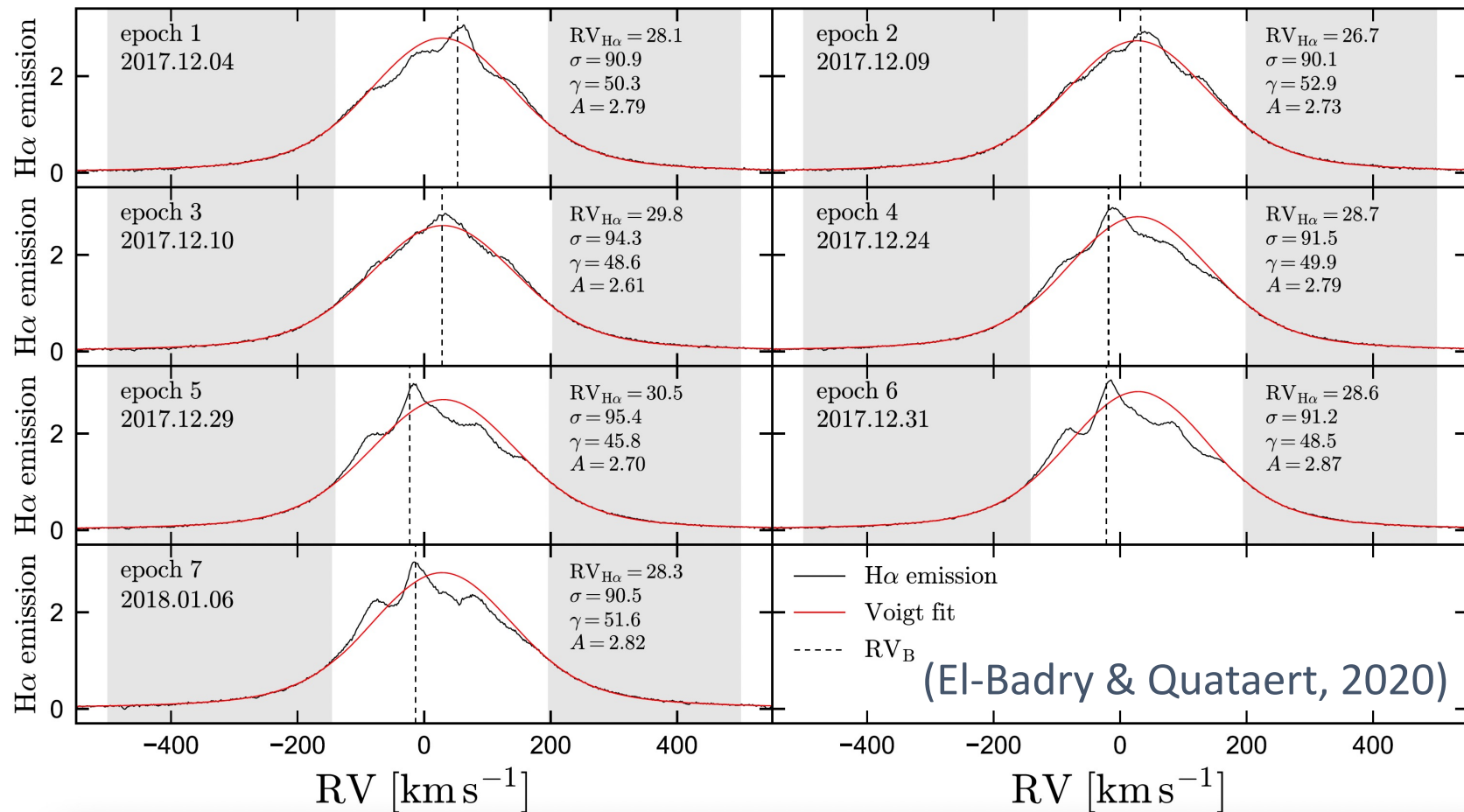


(Abdul-Masih et al. 2020)

- Simulation: a static H $\alpha$  emission + a Doppler-shifting stellar absorption
- Same results as in Liu et al.

## ❖ Source of the H $\alpha$ emission?

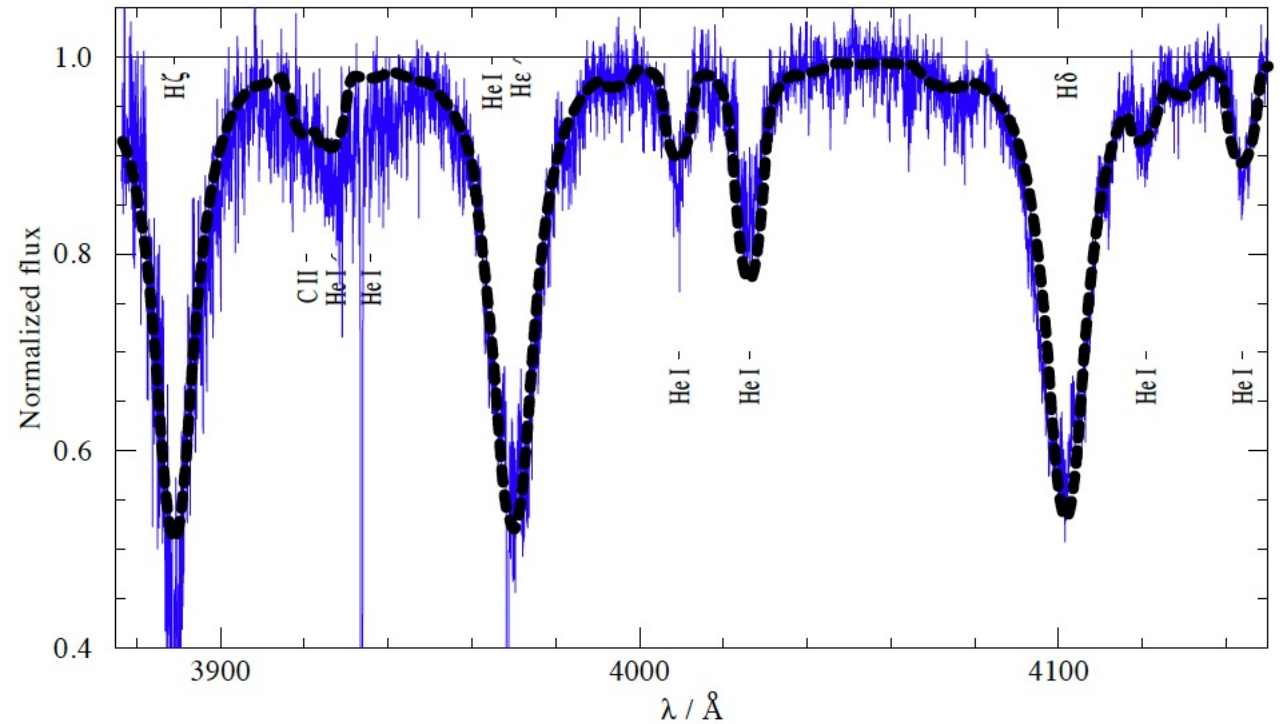
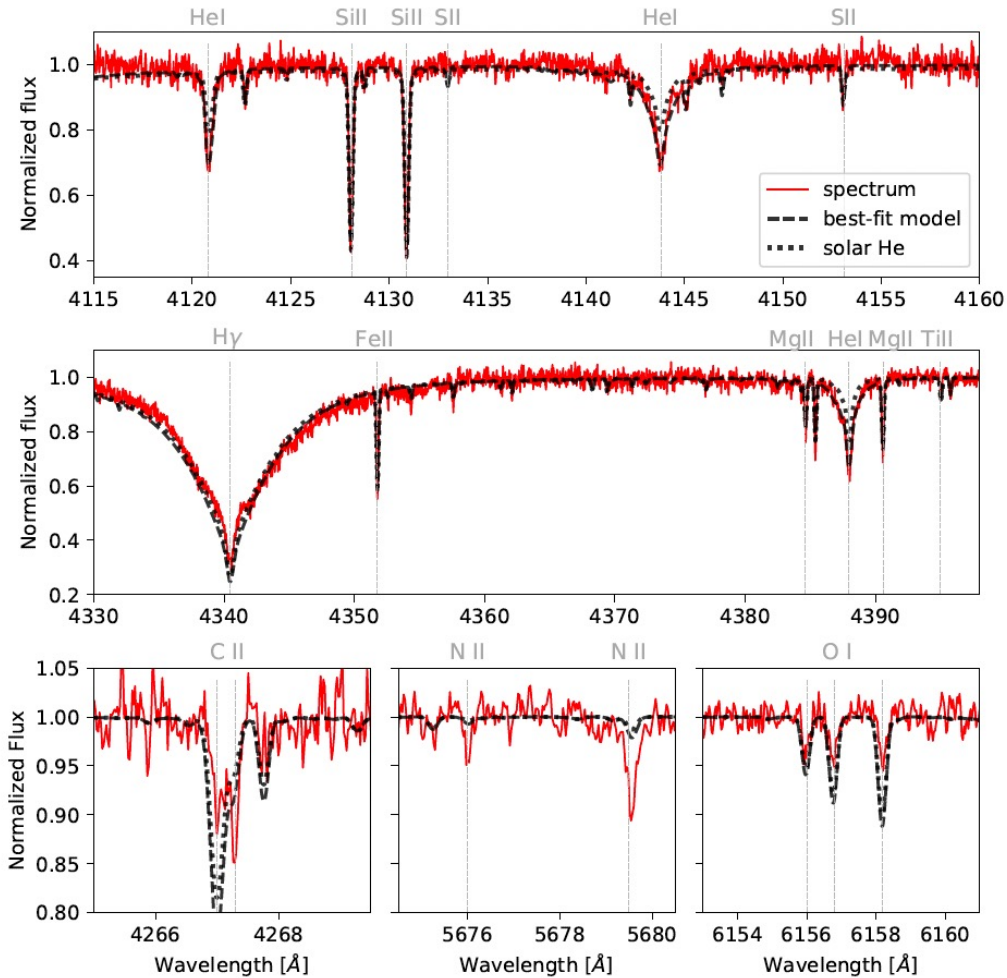
- The centre peak of the H $\alpha$  line profile seems to track the velocity of the B star.
- Accretion of the stellar wind?



# ❖ Two stellar components with comparable brightness in optical flux

➤ Primary: a stripped star, B type,  $1.5 M_{\odot}$

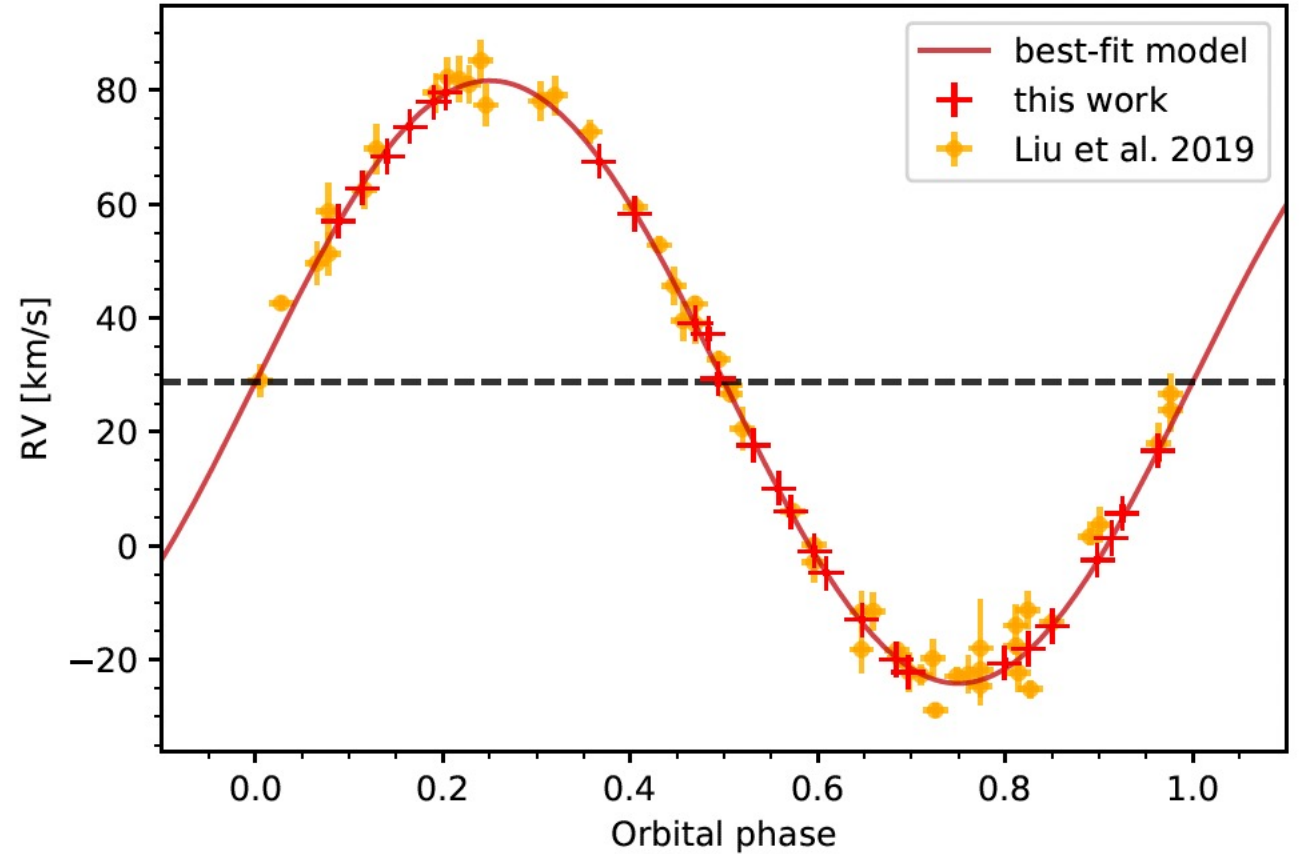
➤ The unseen secondary: a Be star (rapidly rotating B3 V star with a decretion disk),  $7 M_{\odot}$



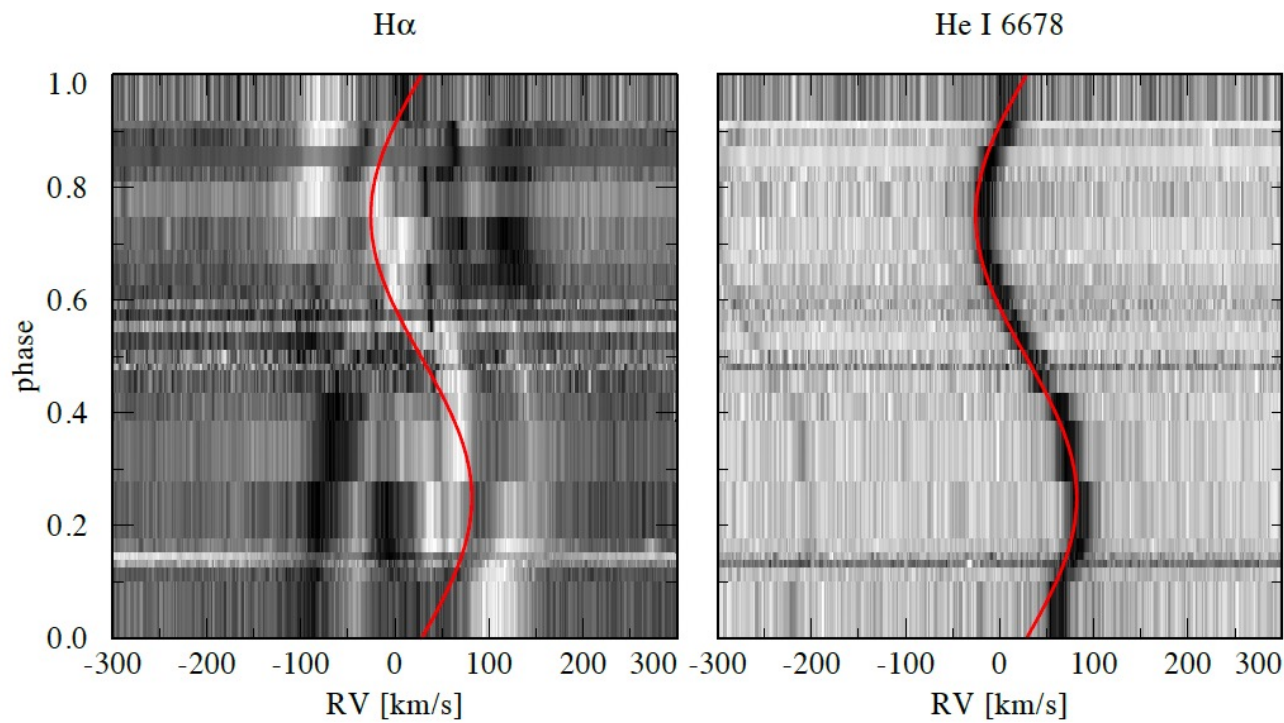
(T. Shemar et al., 2020)

➤ RVs from HERMES and FEROS

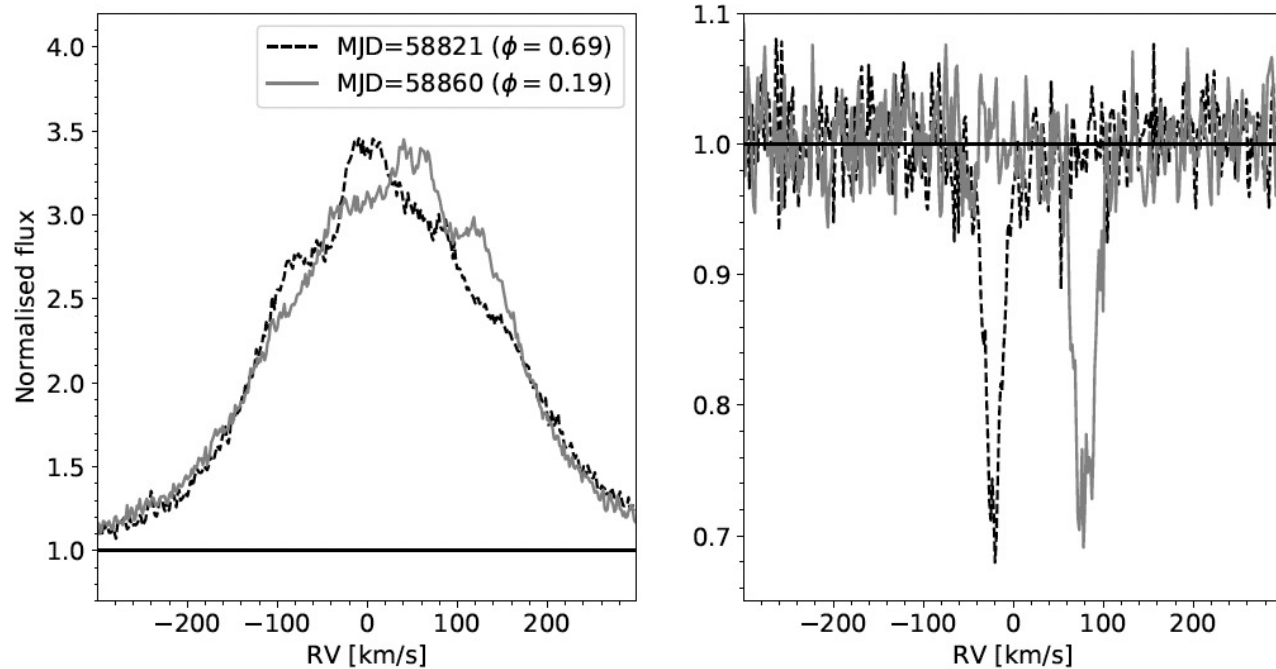
- Period:  $78.7999 \pm 0.0097$  days
- $K_1$ :  $52.94 \pm 0.13$  km/s
- Mass:  $1.5 M_{\odot}$



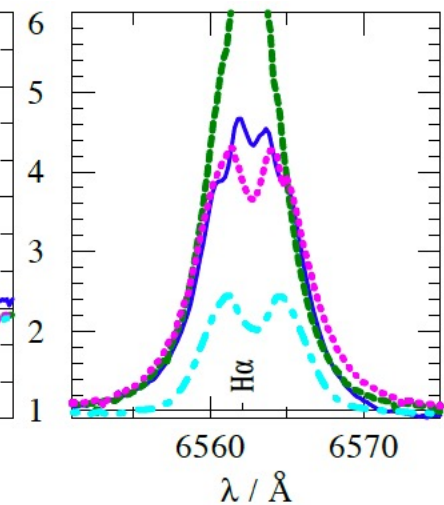
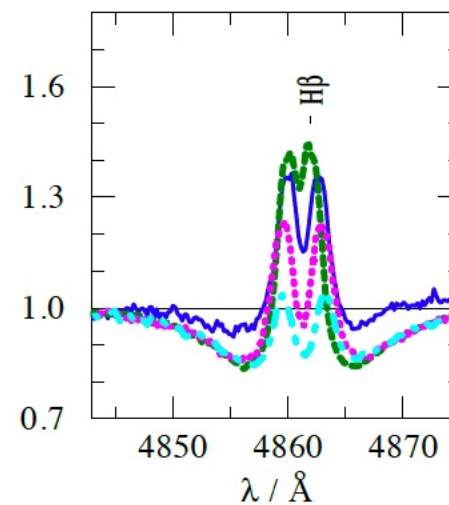
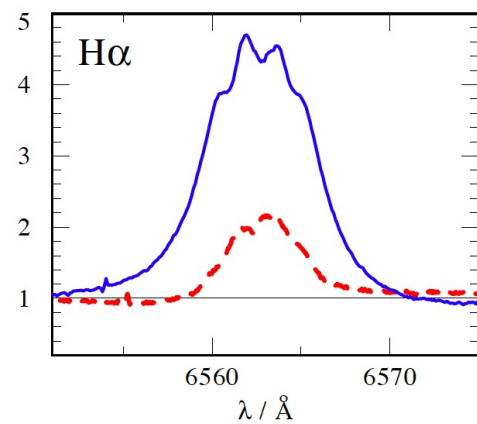
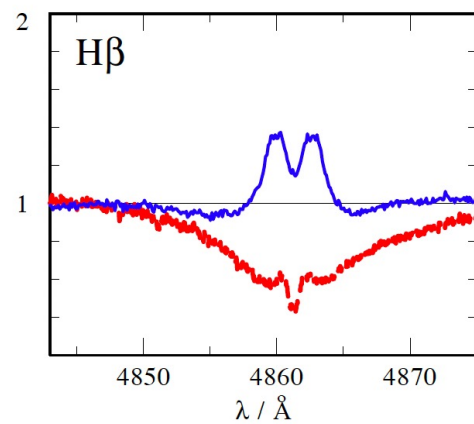
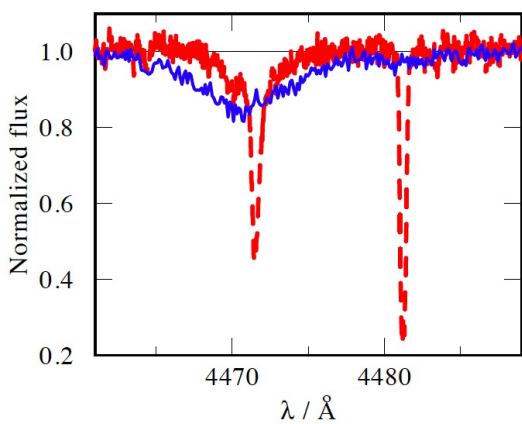
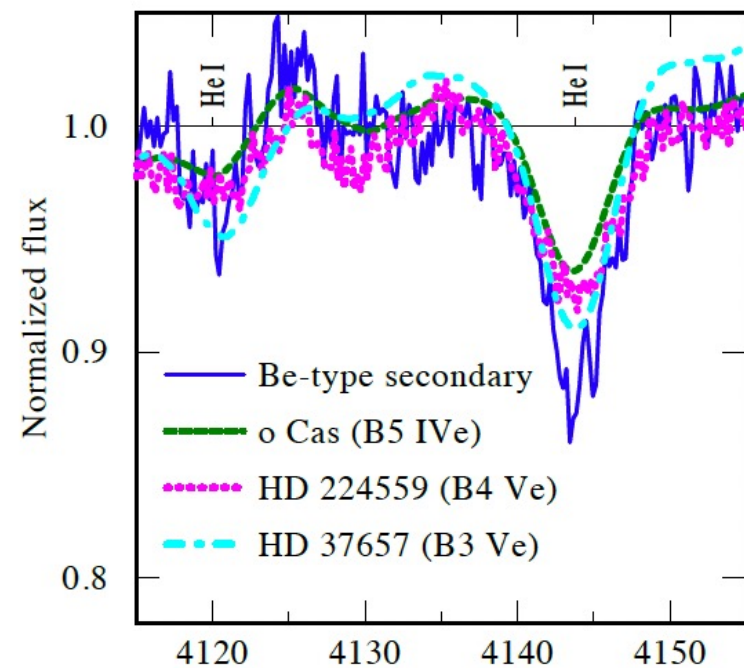
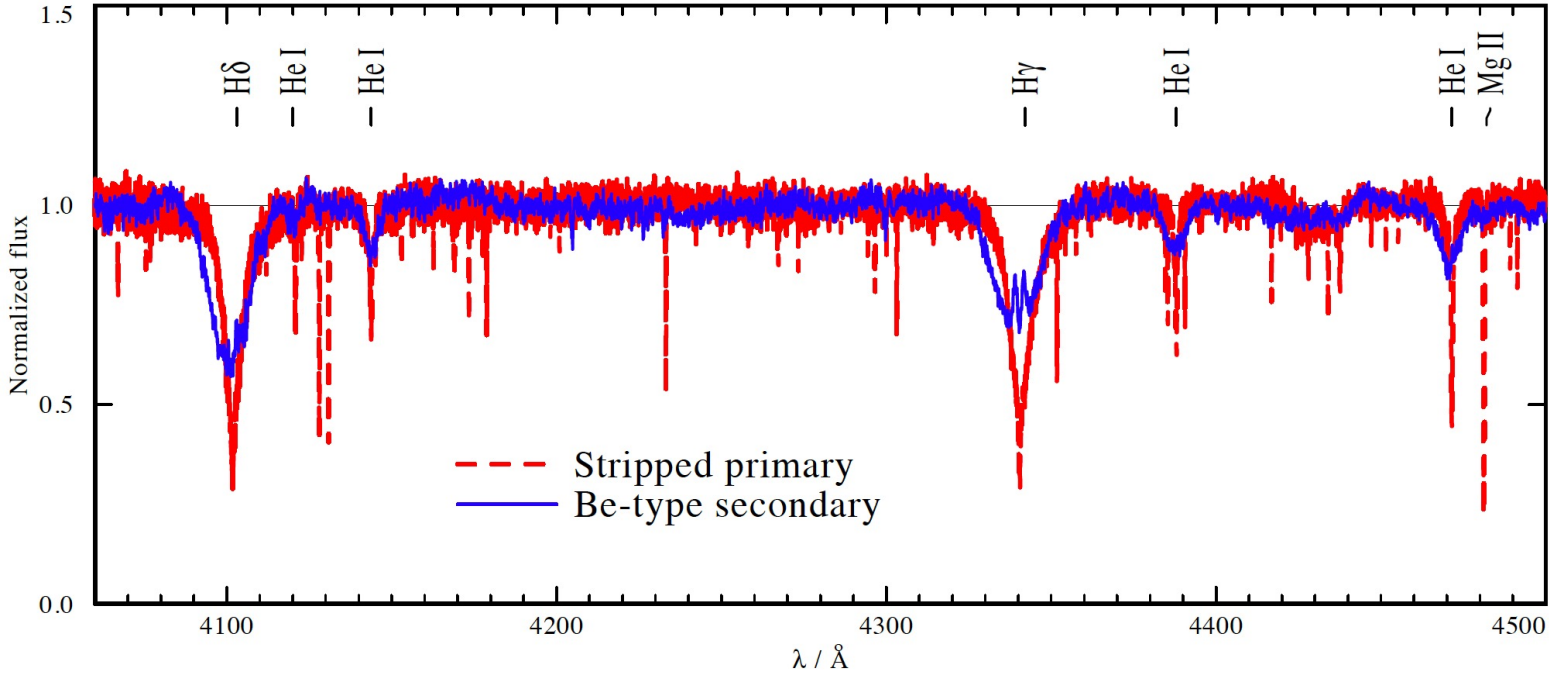
(T. Shemar et al., 2020)



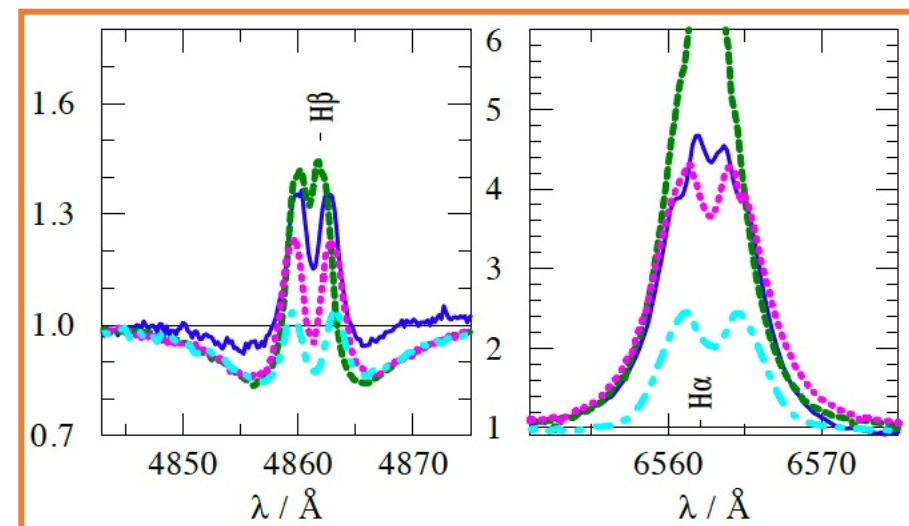
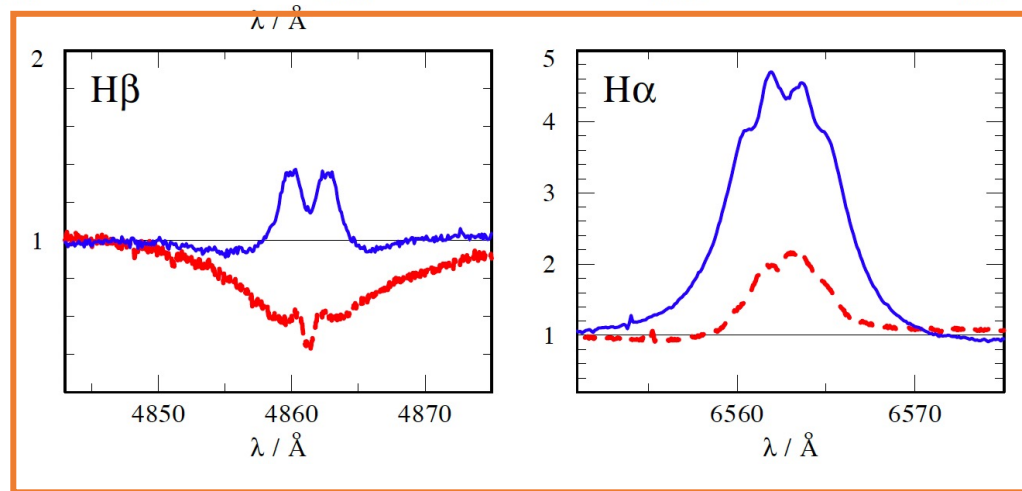
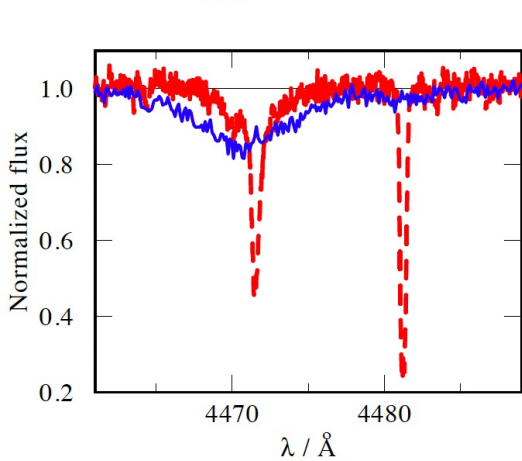
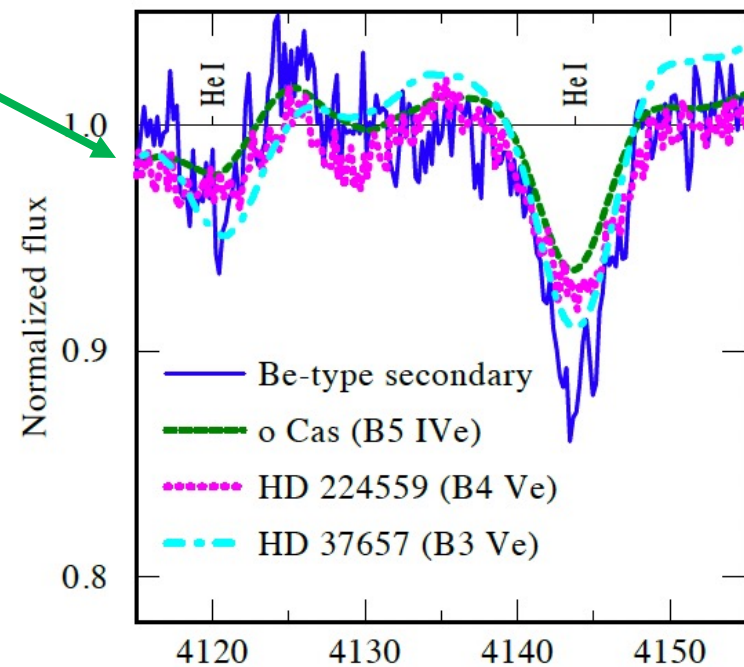
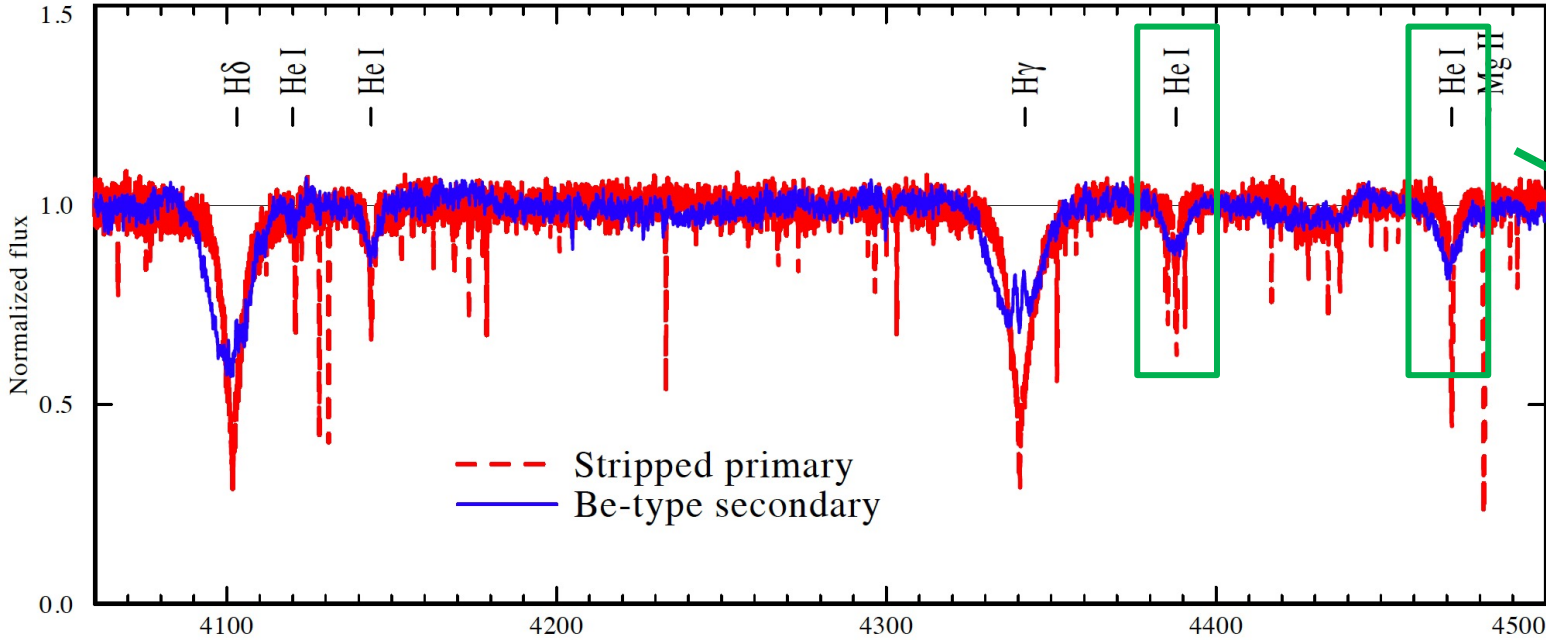
- H $\alpha$  line moves the same as He I 6678, which has been used to extract RV.
- The primary star has H $\alpha$  emission



(T. Shenar et al., 2020)







➤ A typical Be star

## ❖ The possible scenarios of LB-1

- From  $K_2 < 1.3 \text{ km/s} \rightarrow M_2 > 330 M_{\odot}$  (El-Badry & Quataert, 2020)
- A stellar remnant (mentioned in El-Badry & Quataert, 2020)
- A stellar-mass BH (mentioned in El-Badry & Quataert, 2020)
- A solar mass pre-subdwarf + a less massive companion (e.g. NS)  
(Eldridge et al., 2019 and Irrgang et al., 2019)
- A B star + a Be star (T. Shenar, et al., 2020)

# **2M0412 or the “Giraffe”**

**Jayasinghe et al. 2022**

# Why Giraffe? Differences with LB-1

## Why people study Giraffe & the data

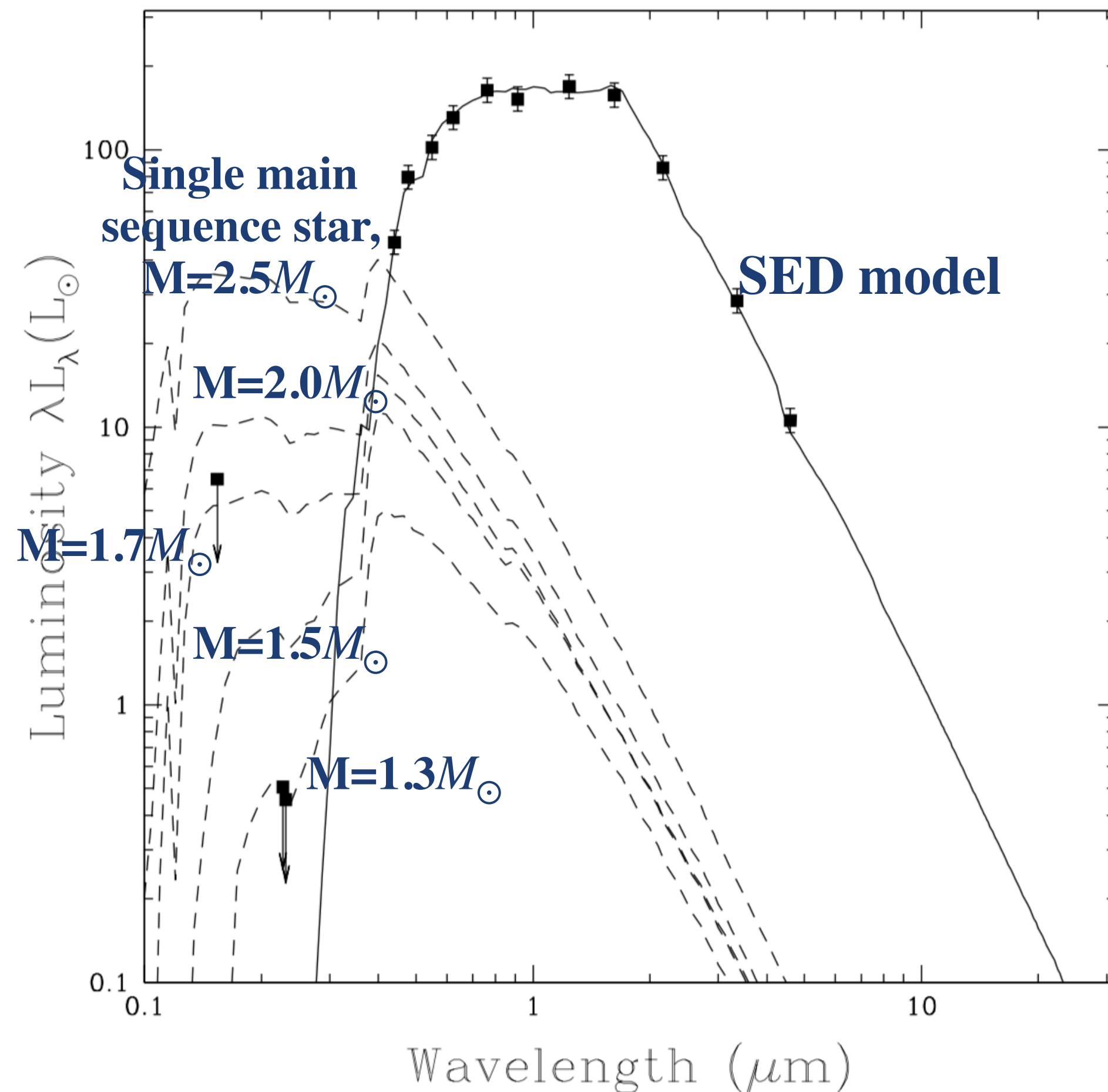
- First classified as a **variable star (P~80.36d)** in the Asteroid Terrestrial-impact Last Alert System (ATLAS) catalog. Then classified as a **semi-regular variable (P~41d)** by the All-Sky Automated Survey for SuperNovae (ASAS-SN), and the Zwicky Transient Facility (ZTF). Light curves from ATLAS, ASAS, ZTF and TESS.
- Spectra from APOGEE, HIRES on Keck and PEPSI.
- Distance and extinction from Gaia.  $D \sim 3.7-4.3 \text{ kpc}$  due to the different zero-point correction.
- UV from Swift UVOT & X-ray from *Swift* X-Ray Telescope

## Compare with LB-1

- Light curve (LC), which means the tidal deformation happens. Direct constrain from LC.
- In spectra, Giraffe has a larger contribution (both absorption lines and  $H_\alpha$  emission) from the companion.
- Support red giant - black hole model, with BH mass  $\sim 2-3 M_\odot \rightarrow$  lower mass gap!

# Constrain properties of visible star from SED and spectra

**P = 80.2 d**



- Spectra indicate the visible star is a rotating red giant, with  $v_{rot} \sin i \sim 13 \text{ km/s}$ . Assume tidal lock,  $P_{rot} = P_{orb}$ ,

$$v_{rot} = \frac{2\pi R_{giant}}{P_{rot}} = 18.7 \pm 0.4 \text{ km/s} \left( \frac{R_{giant}}{30 R_{\odot}} \right) \rightarrow i \sim 42^{\circ}.$$

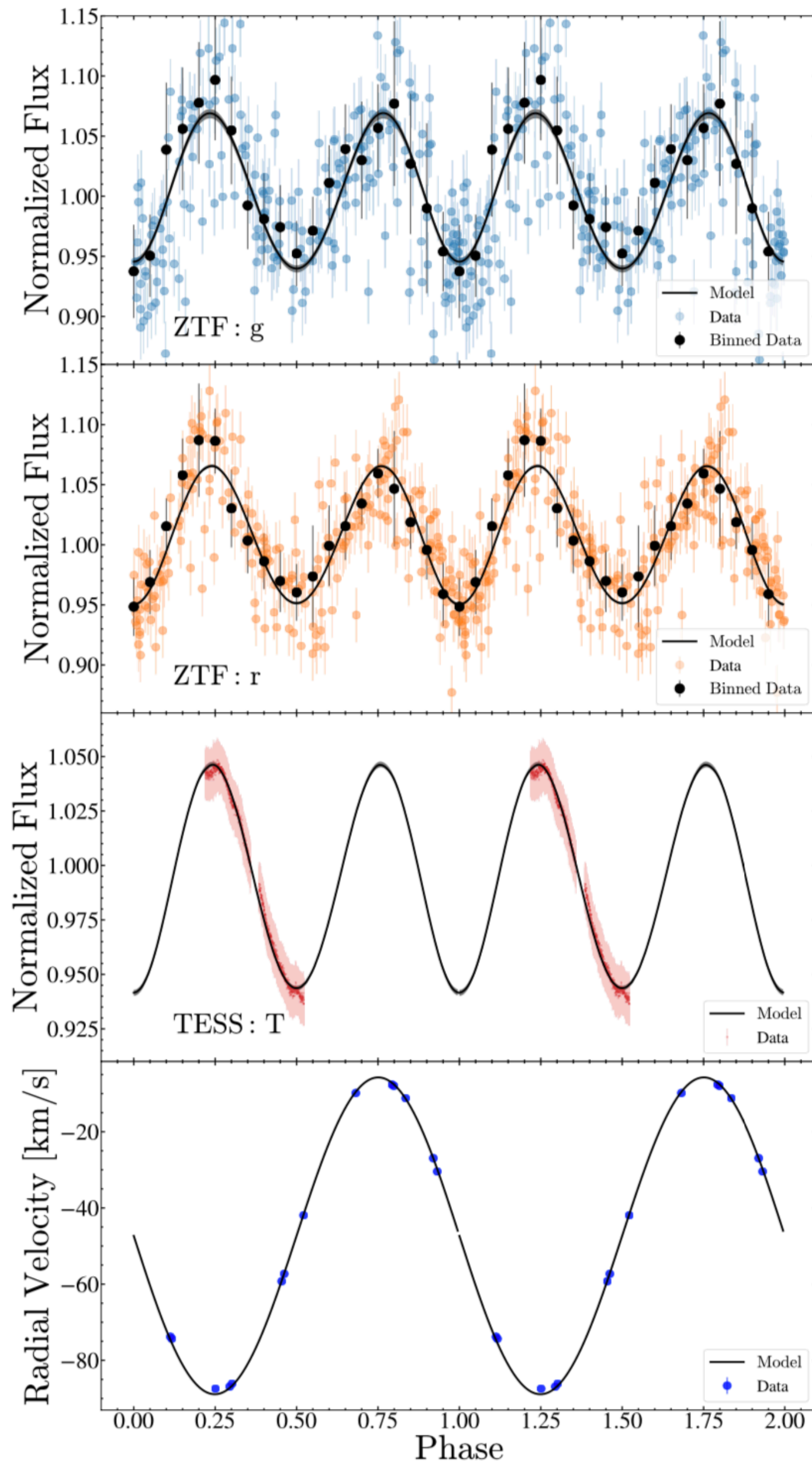
- Joint fitting of SED, spectra and D from Gaia, under the assumption of single star tract without mass stripping  $\rightarrow$

$$M_{giant} = 1.1^{+0.24}_{-0.22} M_{\odot}, R_{giant} = 29.9^{+2.2}_{-2.0} R_{\odot},$$

$$T_{eff} = 4306 \pm 39 \text{ K}, d_{giant} = 4301^{+318}_{-289} \text{ kpc}$$

- Upper mass of companion: if main sequence star,  $< 1.4 M_{\odot}$ ; if sub giant,  $< 1.7 M_{\odot}$

# Combine light curve and RV



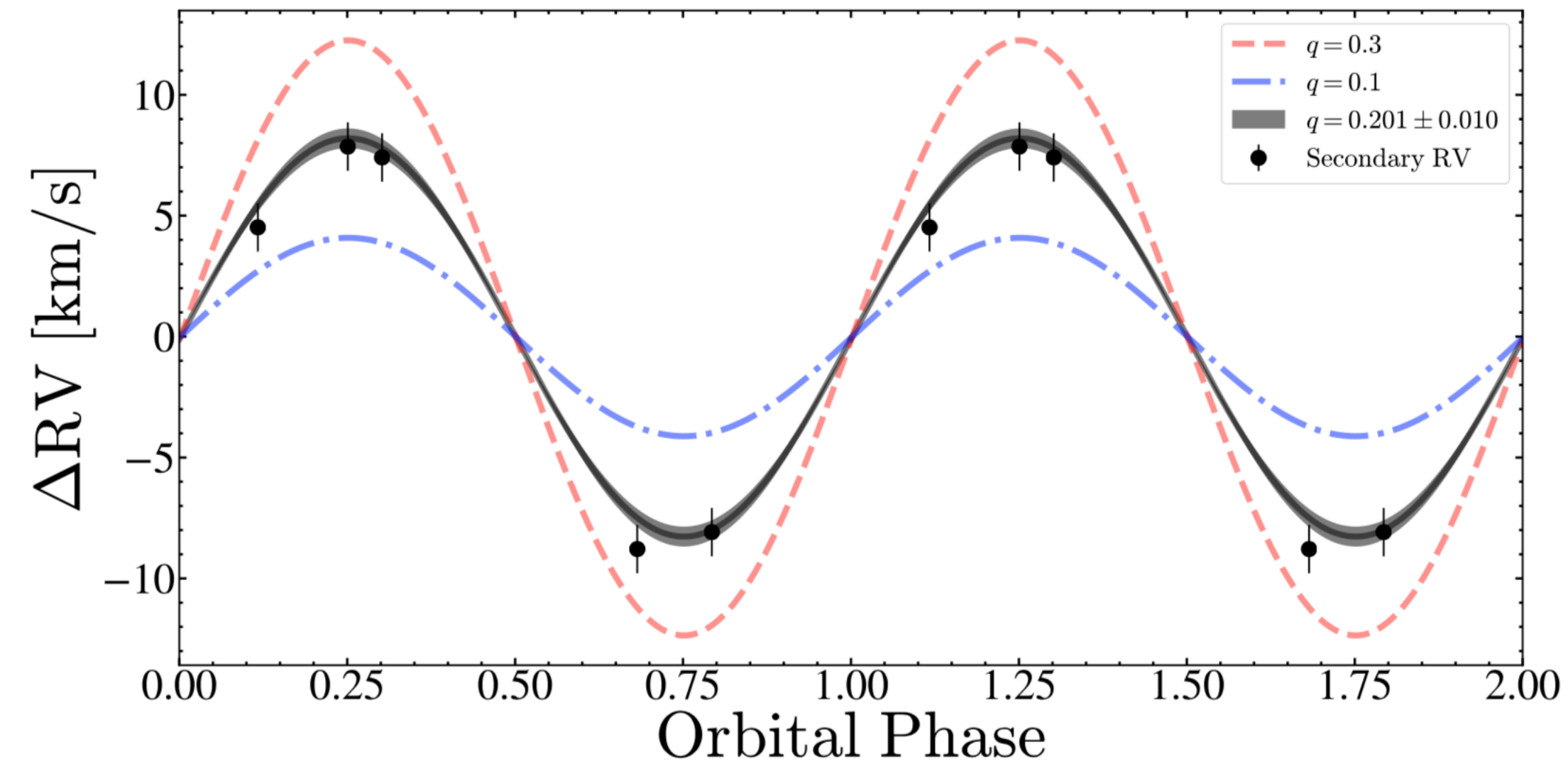
- **Keplerian orbit models (using RV):** for  $M_{giant} = 1M_{\odot}$ ,  $i = 42^{\circ} \rightarrow M_{comp} = 3.3M_{\odot}$ ,  $a_{orb} = 128R_{\odot}$  and the size of Roche lobe  $R_{L,giant} = 36M_{\odot}$ .

## LC (fitting by *PHOEBE*)+RV:

- (1) the giant fills Roche lobe, has mass transfer: too luminous for current distance (at least need  $D=4.7\text{kpc}$ )
- (2) the giant does not fill Roche lobe:  $i \sim 39.5 - 47.8^{\circ}$ ,  $M_{giant} = 0.35 - 0.81M_{\odot}$ ,  $R_{giant} = 23 - 33R_{\odot}$  and  $M_{comp} = 2.1 - 3.6M_{\odot}$

- Mass of giant star is much lower than single star tract  $\rightarrow$  a red giant that has been heavily stripped by binary interactions.
- Mass of companion is much larger than the constrain of SED  $\rightarrow$  non stellar component

# From the residuals of RV & spectra to further constrain properties of the companion

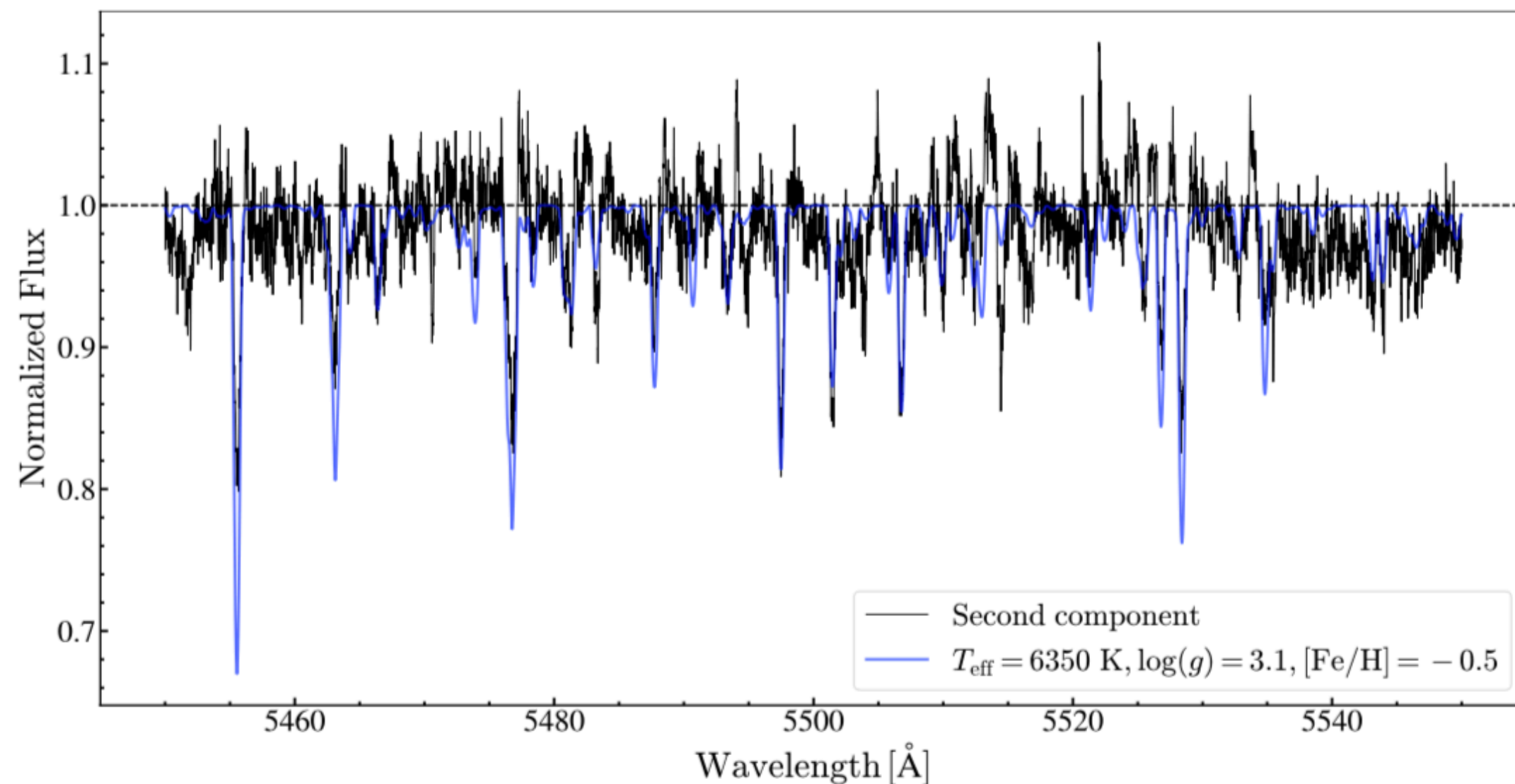


**Mass ratio  $q \sim 0.2$**

The RV has 2 components  $\rightarrow$  detect the RV of companion by cross-correlate the spectra with a synthetic spectrum that mimic the spectrum of the companion.

# From the residuals of RV & spectra to further constrain properties of the companion

Subtract the spectrum of the red giant, then the residual is the companion. Fit the residual spectrum (with model of star)  $\rightarrow T_{eff} = 6350K, \log g = 3.1$



A main sequence star with 6400K  $\sim M = 1.3M_{\odot}$

✗

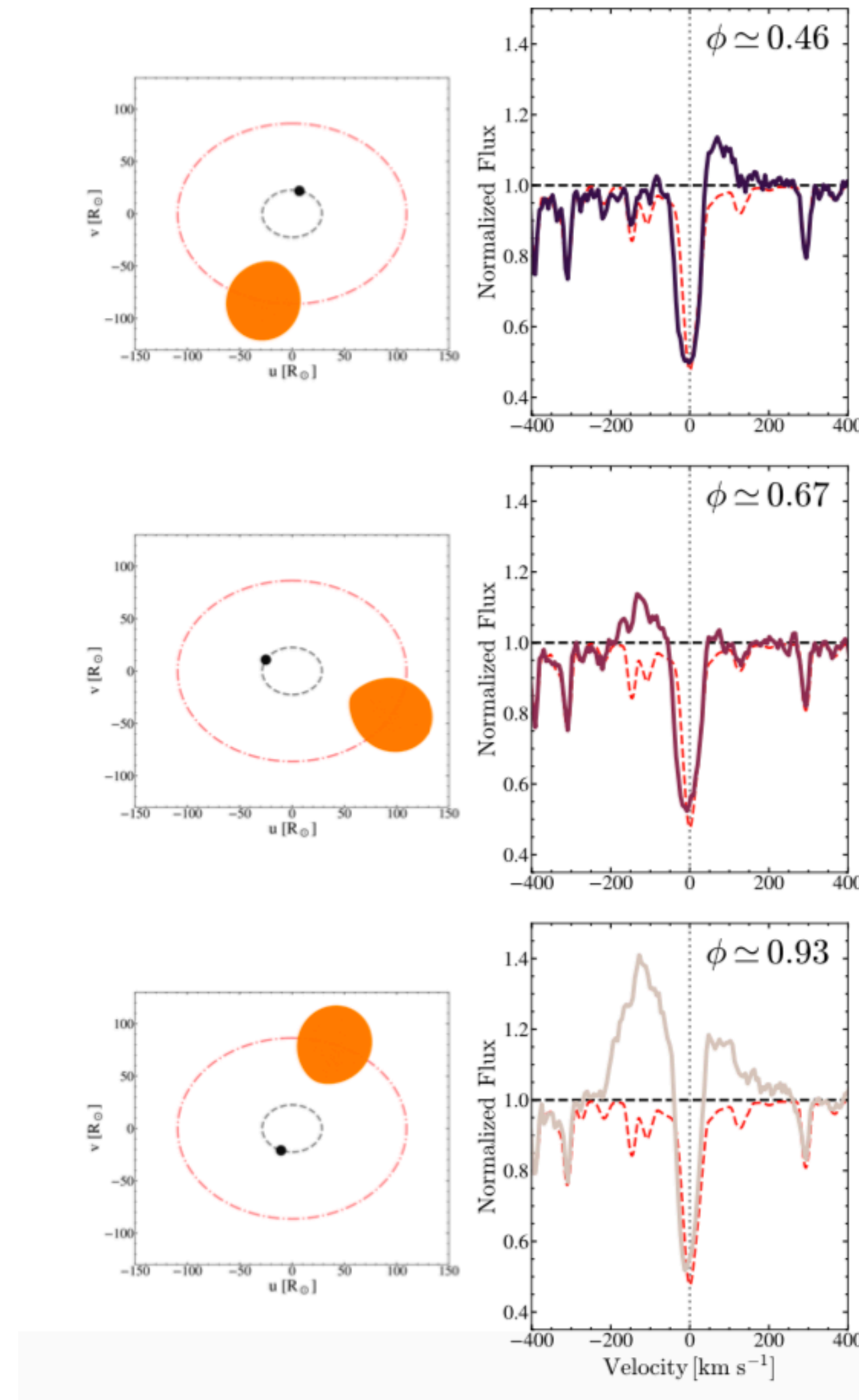
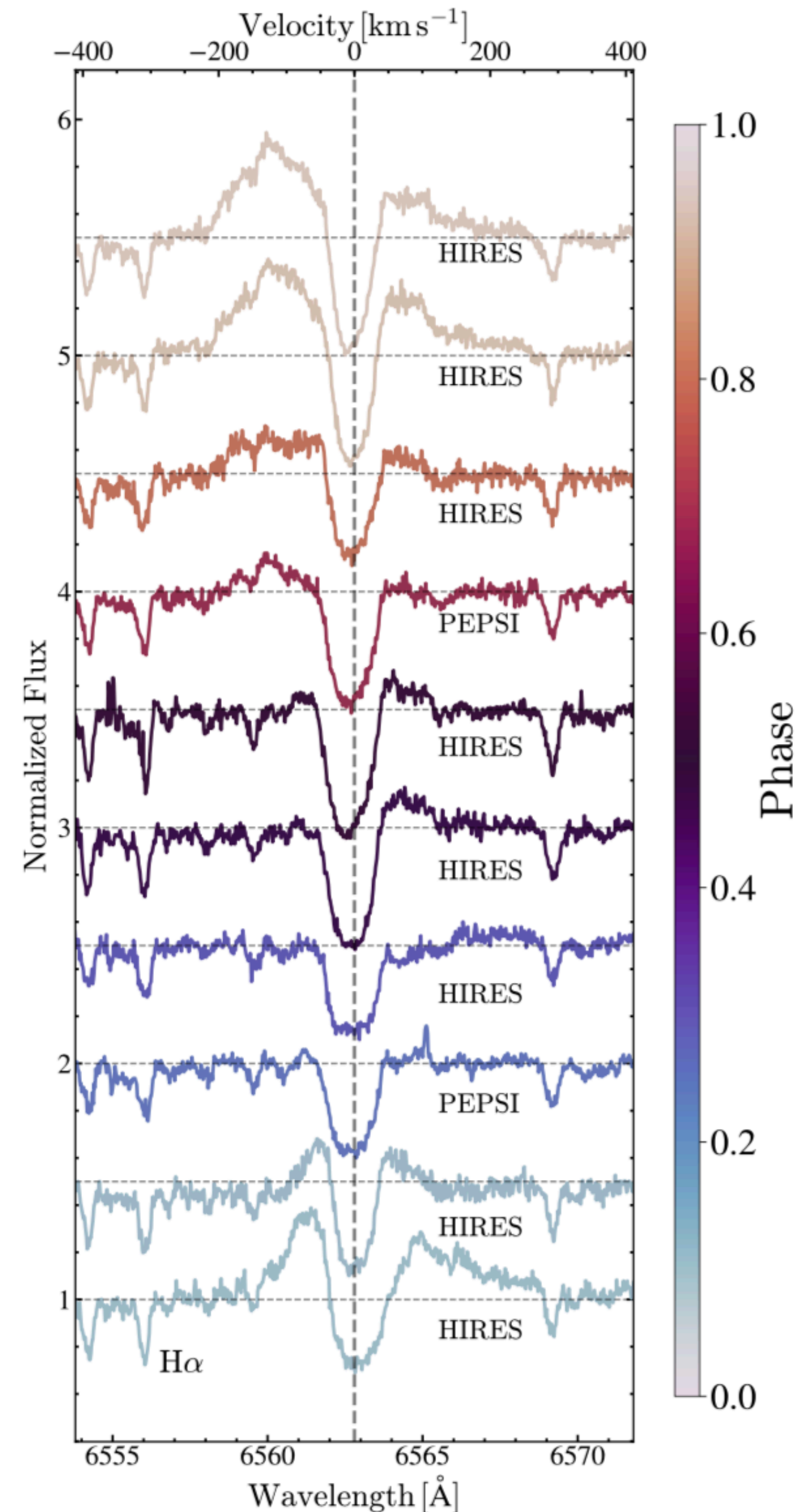
$q=0.2, M_{comp} > 1.6M_{\odot}$

The companion also can not be a binary system  
 $\rightarrow$  velocity dispersion is not large enough

**A black hole/neutron star with an accretion disk**



# $H\alpha$ emission — the structure varies with the orbital phase



- (1) in phase with companion
- (2) If it is related to giant, the structure should be even broader

Emission from accretion disk

# Summary of Giraffe

Dark Companion	Possibility	Comment
Single Star	?	Hot, main sequence companions ruled out by SED limit ( $M_* \lesssim 1.4 M_\odot$ ).
Single WD	✗	WD will exceed Chandrasekhar limit ( $M_{\text{WD}} > 1.4 M_\odot$ ).
Single NS	✗	NS will exceed maximum mass ( $M_{\text{NS}} > 2.3 M_\odot$ ).
Single BH	✓✓	Simplest explanation.
† Star + Star	✗	Ruled out by SED limit ( $M_{\text{binary}} \lesssim 2.6 M_\odot$ ).
† Star + WD	✗	For $M_* \lesssim 1.4 M_\odot$ , the WD mass exceeds Chandrasekhar limit.
† Star + NS	✗	For $M_* < 1.4 M_\odot$ , the NS mass exceeds $2.2 M_\odot$ .
† Star + BH	✗	For $M_* < 1.4 M_\odot$ , the BH mass should be $M_{\text{BH}} > 2.8 M_\odot$ .
† WD + WD	✗	Both WD components exceed Chandrasekhar limit.
† NS + WD	✗	NS mass is in the observed range if $M_{\text{WD}} > 0.8 M_\odot$
† BH + WD	✗	BH mass is even lower than with no WD.
† NS + BH	✗	The BH must have a NS-like mass.
† NS + NS	✗	Both NS components should have $M_{\text{NS}} \gtrsim 1.2 M_\odot$ , so $q_{\text{inner}} \gtrsim 0.67$ .
† BH + BH	✗	The BHs have NS masses.

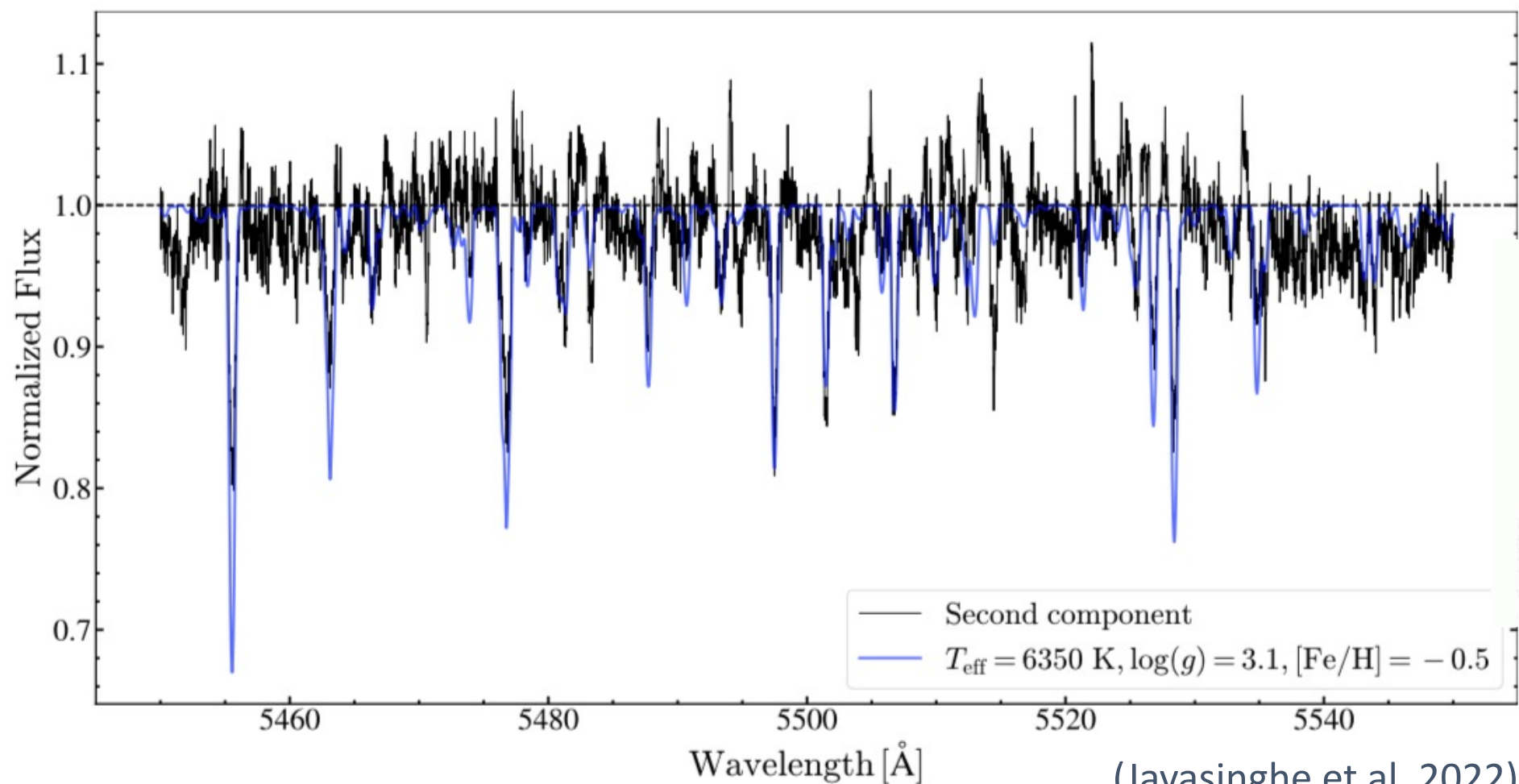
Through fitting the **residual spectra** to constrain properties of the companion, they think the companion is a black hole/ neutron star with an accretion disk.

$M_{\text{comp}} 2 - 3M_\odot$ , in the lower mass gap of black hole.

† Disfavored because the orbital motion of an inner binary will dominate over the reflex motion of the giant's orbit observed in our spectra.

## ❖ The “Giraffe” system

- A single star model + residuals

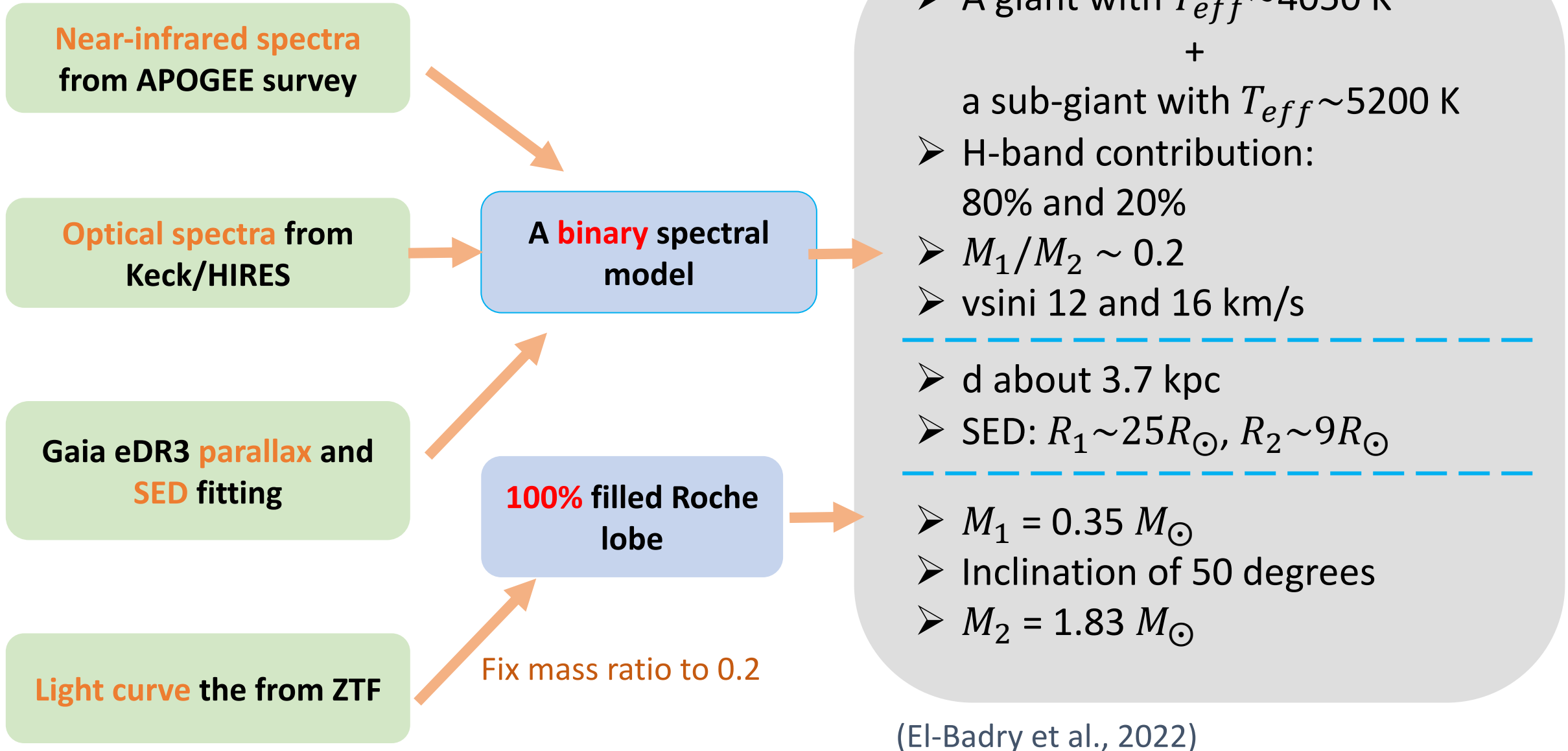


(Jayasinghe et al. 2022)



我怀疑你在骗我  
但是我没有证据

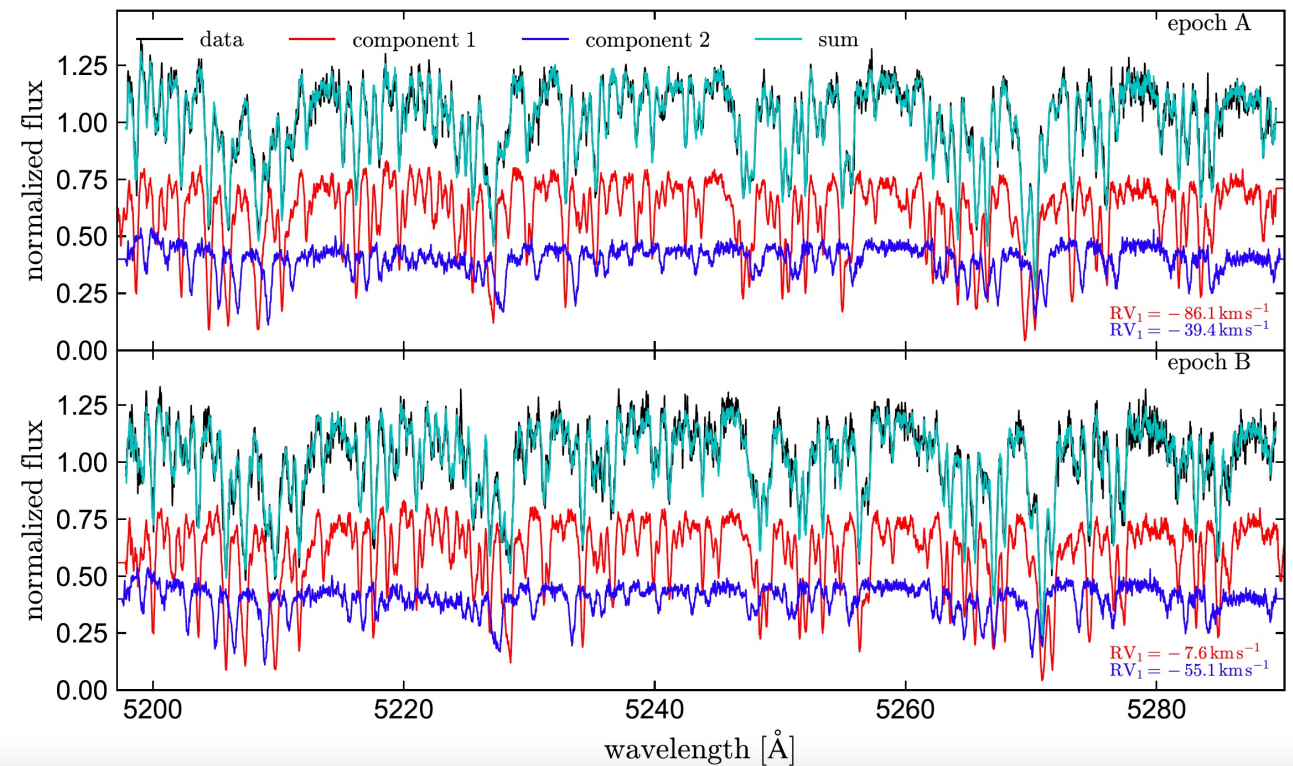
## ❖ Model fittings



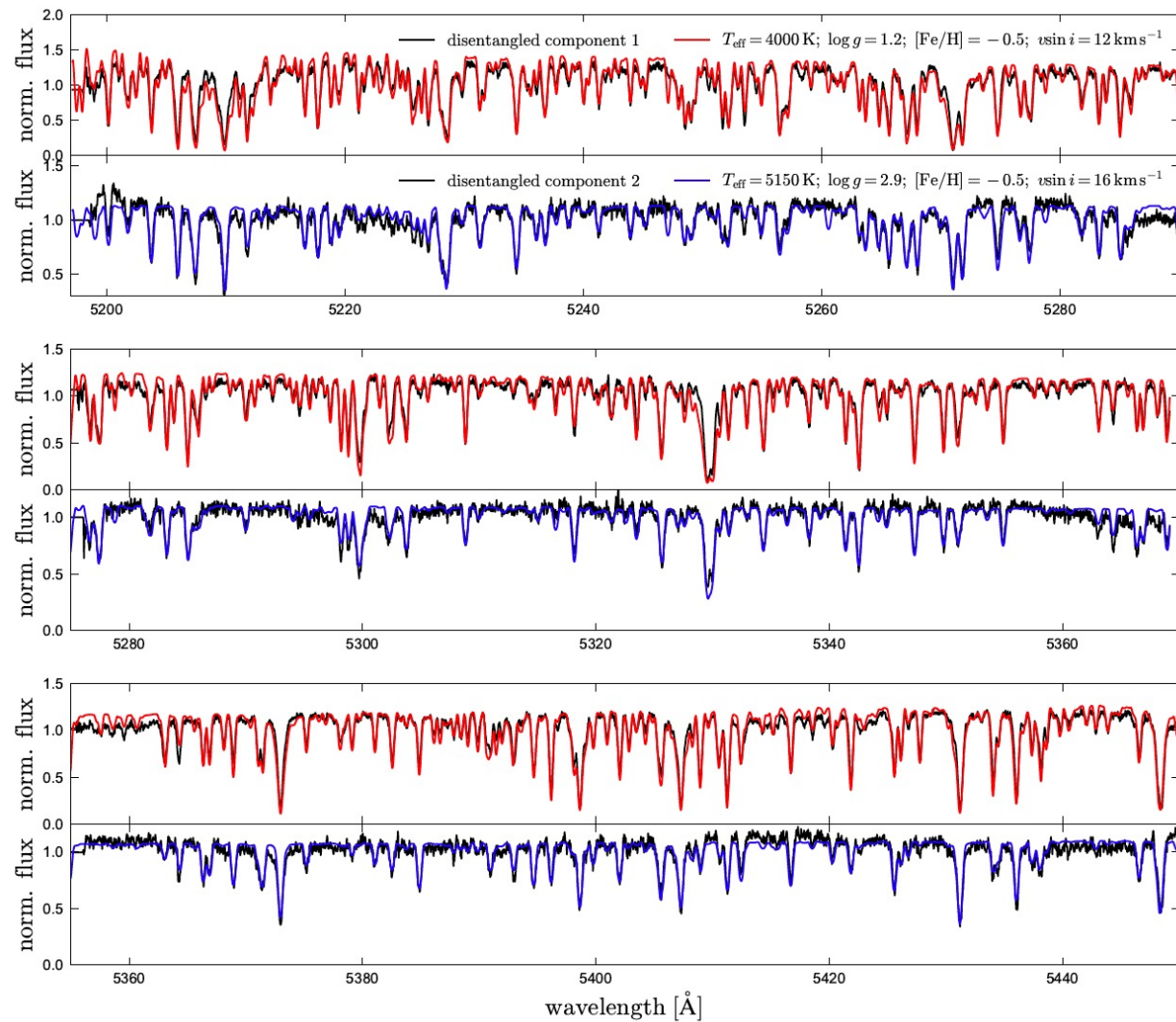
# ❖ The “Giraffe” system

(El-Badry et al., 2022)

## ➤ Spectral disentangling of the Giraffe system.



## ➤ Comparison with the Kurucz model



## ❖ Why not a BH and Why a subgiant?

- More absorption but less emission
- $v \sin i < 20 \text{ km/s}$  + a 3 solar-mass BH
  - materials on AU scales, which is larger than the binary separation
  - can not trace the secondary's centre of mass?
- X-ray upper limits imply  $L_x \lesssim 10^{-5} L_{opt}$

- Selection effect:  
A non-subgiant → blue/UV → detectable → BH candidate (X)
- Evolution scenarios?  
(El-Badry et al., 2022)

# Current status of non-interacting BH systems

BH type	Name	Mass ( $M_{\odot}$ )	Time	Status
Globular-cluster	NGC 3201 BH1	$4.36 \pm 0.41$	2018	Yes
	NGC 3201 BHC1	$M_{BH}\sin(i) = 7.68 \pm 0.50$	2019	Yes
	NGC 3201 BHC2	$M_{BH}\sin(i) = 4.4 \pm 2.8$	2019	Yes
	NGC 1850 BH1	$11.1^{+2.1}_{-2.4}$	2021	No
	NGC 2004 #115	$\sim 25$	2021	No
<b>Field BH binaries</b>	<b>LB-1</b>	$\sim 68^{+11}_{-3}$	2019	<b>No</b>
	<b>Giraffes</b>	$2.97 \pm 0.02$	2021	<b>No</b>
	Unicorn	$3.04 \pm 0.06$	2021	<b>No</b>
	HR 6819	$6.3 \pm 0.07$	2020	<b>No</b>
	J05215658	$\sim 3.3^{+2.8}_{-0.7}$	2019	<b>Yes?</b>

LMG & non-interacting

# Current status of non-interacting BH systems

BH type	Name	Ma
Globular-cluster	NGC 3201 BH1	
	NGC 3201 BHC1	$M_B$
	NGC 3201 BHC2	$M$
	NGC 1850 BH1	
	NGC 2004 #115	
Field BH binaries	LB-1	
	Giraffes	
	Unicorn	
	HR 6819	
	J05215658	



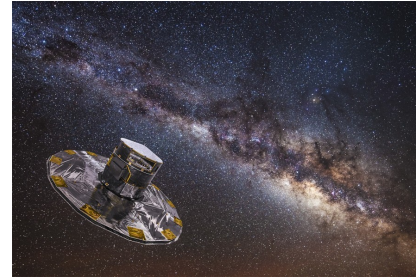
LMG & non-interacting



# Prospect of Galactic BH

## Binary population synthesis models:

- 30-300 non-interacting BHs detectable in binaries with **astrometry** from Gaia



2014-2024 ( Gaia DR5)  
*DR3 (2020) for now.*

- $10^3$  detached non-interacting BHs in the MW,
- $10^2$  having luminous companions brighter than  $G \sim 20$  mag

## In addition...

- Targeted searches combining high-cadence **photometry** and sparsely sampled **radial velocities** from wide-field time-domain surveys

## LAMOST

## All-Sky Automated Survey for Supernovae (ASAS-SN)

South Africa



Chile



Texas



Hawaii

